

# **Brick 1000**



# FIPS 140-1 Non-Proprietary Security Policy Version 4.1

Level 2 Validation

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# **1 INTRODUCTION**

### 1.1 Purpose

This is a non-proprietary Cryptographic Module Security Policy for the Brick 1000 from Lucent Technologies. This security policy describes how the Brick 1000 meets the security requirements of FIPS 140-1, and how to operate the Brick 1000 in a secure FIPS 140-1 mode. This policy was prepared as part of the Level 2 FIPS 140-1 validation of the Brick 1000.

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FIPS 140-1 (Federal Information Processing Standards Publication 140-1 — *Security Requirements for Cryptographic Modules*) details the U.S. Government requirements for cryptographic modules. More information about the FIPS 140-1 standard and validation program is available on the NIST website at <u>http://csrc.nist.gov/cryptval/</u>.

#### 1.2 References

This document deals only with operations and capabilities of the Brick 1000 in the technical terms of a FIPS 140-1 cryptographic module security policy. More information is available on the Brick 1000 and the entire Brick series from the following sources:

- 1. The Lucent Technologies website (<u>www.lucent.com</u>) contains information on the full line of products from Lucent Technologies.
- 2. The NIST Validated Modules website (<u>http://csrc.ncsl.nist.gov/cryptval/</u>): contains contact information for answers to technical or sales-related questions for the Brick 1000

#### 1.3 Document Organization

The Security Policy document is one document in complete FIPS 140-1 Submission Package. In addition to this document, the complete Submission Package contains:

- Vendor Evidence document
- Finite State Machine
- Module Software Listing
- Other supporting documentation as additional references

This Security Policy and other Certification Submission Documentation was produced by Corsec Security, Inc. under contract to Lucent. With the exception of this Non-Proprietary Security Policy, the FIPS 140-1 Certification Submission Documentation is proprietary to Lucent and is releasable only under appropriate non-disclosure agreements. For access to these documents, please contact Lucent.

## 2 The Brick 1000

The Brick 1000 is a carrier-grade integrated firewall and virtual private network (VPN) gateway appliance specifically designed for web/application data center security, large-scale managed security services, and remote access VPN services. Called the Brick because of its rugged, reliable design, this is an ideal platform for service providers seeking wide scalability, ready manageability, and industry-leading performance. Its next-generation capabilities include full 1-Gigabit throughput, VLAN support with security policy filtering, and high availability with state-sharing. The Brick 1000 can be deployed in a variety of configurations to support the business goals of large service providers.

The Brick 1000 VPN Firewall supports the following features:

- Dynamic stateful packet filter with content security proxies for command blocking, URL blocking, virus scanning.
  - IPSec ESP with DES, 3DES, RC4 encryption.
  - MD5 and SHA1 authentication.
  - Operates as a layer 2 bridge, making it completely invisible in the network.
  - Runs on Bell Labs' Inferno® operating system.
- Superlative performance for enterprise-class requirements supports up to 1.7 Gbps cleartext firewall throughput at 55,000 packets per second, 90 Mbps 3DES throughput.
- Industry-leading scalability.
- "No-touch" CPE advanced capabilities for remote deployment and management.
- Easy, economical administration and maintenance requires no costly routing configuration changes, OS upgrades and patches, or hard-drive backups.
- Denial-of-service protection defends against attacks with SYN flood protection, intelligent cache management, robust fragment reassembly.
- Supports 801.1Q VLAN tagging allows a Brick 1000 to be partitioned into as many as 2000 "virtual firewalls", while ensuring that each customer's unique firewall service is completely secure.

The Brick 1000 comes in two different FIPS 140-1 configurations:

- VPN Firewall FIPS-140 Brick 1000 (5/4) (SKU 300533882) [5-10/100 Ethernet Ports, 4-Gigabit Fiber Ports, Dual Internal AC Power Supplies, Internal Floppy Drive, FIPS-140 Hardware Kit]
- VPN Firewall FIPS-140 Brick 1000 (3/4) (SKU 300533890) [3-10/100 Ethernet Ports, 4-Gigabit Fiber Ports, Installed Encryption Accelerator Card, Dual Internal AC Power Supplies, Internal Floppy Drive, FIPS-140 Hardware Kit]

The following diagram depicts the robust system architecture, including the Lucent Brick 1000, the Lucent Security Management Server (LSMS), and remote users.

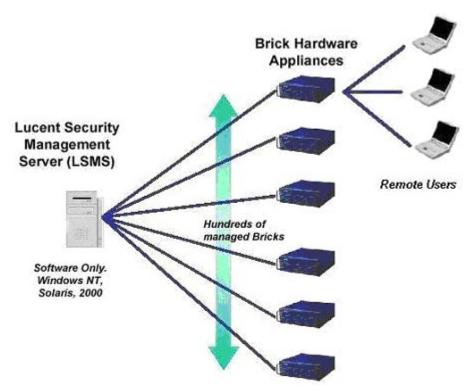


Figure 1 – Brick 1000 System Architecture

#### 2.1 Cryptographic Modules

The metal casing that fully encloses the module establishes the cryptographic boundary for the VPN Firewall, all the functionality discussed in this document is provided by components within the casing. The Brick 1000 features remote access VPNs, 20,000 simultaneous IPSec tunnels, distributed DoS attack protection, 1.7 Gbps of cleartext firewall throughput, 90 Mbps @ 3DES max throughput with encryption acceleration card, and premium authentication services make this module an ideal platform for building managed IP services.

#### 2.2 Module Interfaces

The interfaces for the VPN Firewall are located in the rear of the box, with the exception of the I/O controller. The physical interfaces are separated into the FIPS 140-1 logical interfaces from as described in the following table:

Physical Port	FIPS 140-1 Logical Interface
10/100 Base-TX Ethernet Port	Data Input Interface
Fiber Gigabit Port	
Floppy drive	
10/100 Base-TX Ethernet Port	Data Output Interface
Fiber Gigabit Port	
10/100 Base-TX Ethernet Port	Control Input Interface
Fiber Gigabit Port	_
Power Switch	
PS/2 Keyboard Port	
SVGA Video Port	Status Output Interface

Physical Port	FIPS 140-1 Logical Interface
LAN Port LEDs	
10/100 Base-TX Port LEDs	
Fiber Gigabit LED	
Encryption Acceleration Card LEDs	
Power LED	
Redundant Power LED	
Power supply fault indicator LED	
Disk Activity LED	
On/Off Indicator LED	
Power Plugs	Power Interface

#### Table 1 – FIPS 140-1 Logical Interfaces

\*The serial and USB ports on the Brick 1000 are disabled.

The module's status interfaces are located on the front and rear panels. These LEDs provide overall status of the module's operation. Descriptions for these LEDs are in

LED	Indicator	Description
Power Indicator	Green	Power is supplied to the Firewall and the Firewall is
		operational
	Off	The Firewall is not powered on
Disk Drive	Blinking Green	The disk drive is reading a disk
	Off	The disk drive is not in use
Disk Activity	Blinking Amber	The disk drive is reading a disk
	Off	The disk drive is not in use
Power Supply Fault	Orange	One or both power supplies are not functioning
		The power supplies are on and functioning
Table 2 and Table	3, respectively.	
LED	Indicator	Description
Power Indicator	Green	Power is supplied to the Firewall and the Firewall is
		operational
	Off	The Firewall is not powered on
Disk Drive	Blinking Green	The disk drive is reading a disk
	Off	The disk drive is not in use
	•	
Disk Activity	Blinking Amber	The disk drive is reading a disk
Disk Activity	Blinking Amber Off	
Disk Activity Power Supply Fault	-	The disk drive is reading a disk

Table 2 – Front panel LEDs

The following table provides detailed information about the LEDs found on the rear panel:

LED	Indicator	Description	
Fiber (LINK)	Green	A fiber link has been established	
	Off	No fiber link is established	
Fiber (TX)	Blinking Green	The port is transmitting data	
	Off	No data is being transmitted	
Fiber (RX)	Blinking Green	The port is receiving data	
	Off	No data is being received	
10/100BaseTX	Green	An Ethernet link has been established	
(LNK)	Off	No Ethernet link established	
10/100BaseTX	Blinking Green	The port is transmitting or receiving data	

LED	Indicator	Description		
(ACT)	Off	No data is being transmitted or received		
10/100BaseTX	Green	The speed of the interface is 100Mbps		
(100TX)	Off	The speed of the interface is 10Mbps		
Encryption	Green	The Encryption Accelerator Card is powered on		
Accelerator (Bottom LED)	Off	The Encryption Accelerator Card is powered off		
Encryption	Green	The Encryption Accelerator Card is active		
Accelerator (Middle	Off	The Encryption Accelerator Card is idle		
LED)				
Encryption	Green	The Encryption Accelerator Card is active		
Accelerator (Top Off		The Encryption Accelerator Card is idle		
LED)				
Power Supply	Green	Power is supplied to the Firewall		
	Off	There is no power going to the Firewall		
Ethernet Port 0	Orange	The port is on and active		
(Orange) Off The port is powered off		The port is powered off		
Ethernet Port 0	Ethernet Port 0 Blinking Green The port is transmitting or receiving data			
(Green) Off No data is being transmitted or received		No data is being transmitted or received		

#### Table 3 – Rear panel LEDs

#### 2.3 Roles and Services

The Brick 1000 meets level 2 FIPS 140-1 requirements for Roles and Services by employing identity-based authentication (for the LSMS and VPN client identities) and implicitly providing the authenticated identities with one of the two supported roles: Crypto-Officer role and User role.

The Brick 1000 provides the LSMS identity exclusively with the Crypto-Officer role, a role responsible for the primary configuration and management of the Brick 1000. The Crypto-Officer communicates with the Brick 1000 through an encrypted session and authenticates to the Brick 1000 using a digital certificate.

The Brick 1000 provides its VPN clients exclusively with the User role. VPN clients authenticate to the Brick 1000 per (network-layer) packet using a shared secret HMAC-SHA-1 key configured by the Crypto-Officer.

#### 2.3.1 Crypto Officer Services

The Crypto-Officer is responsible for the configuration and management of the Brick 1000. The Crypto-Officer first provides an initial configuration for the Brick 1000 and then is able to access the Brick 1000 over an encrypted session. Through this session, the Crypto-Officer can perform full management of the Brick 1000, including loading IPSec SAs onto the Brick 1000 for Users.

During the initial configuration of the Brick 1000, the Crypto-Officer generates a disk using the LSMS and this information is then loaded onto the Brick 1000 over the Brick 1000's floppy disk drive. The files on this disk include the following configuration information:

• Lucent Security Management Server (LSMS) certificate containing the LSMS CA DSA public key

- DSA key pair for the module (the public key is contained in a certificate generated by the LSMS)
- Diffie-Hellman public parameters
- IP address of the LSMS
- DNS Host Name given to identify the Brick 1000

The Brick 1000's public key (of the DSA key pair loaded onto the Brick 1000) is contained in a certificate generated by the LSMS CA. Each Brick 1000 is given such a unique certificate, and this is used during the LSMS handshake protocol to authenticate the Brick 1000 to the LSMS. Additionally, the LSMS possesses a certificate, to allow the Brick 1000 to authenticate the LSMS. Collectively, these certificates provide mutual authentication between the LSMS and every Brick 1000, so an intruder cannot masquerade as either the LSMS or a Brick 1000.

Once the Brick 1000 has been initialized, the Crypto-Officer may begin management of the Brick 1000 through a TDES encrypted IP session. Looking at Figure 1 above, the Brick 1000 provides the Crypto-Officer role exclusively to the LSMS after the initial configuration is completed. Digital certificates are used to authenticate the Crypto-Officer (LSMS) to the Brick 1000 and the Brick 1000 to the LSMS, and a Diffie-Hellman key agreement is performed to negotiate encrypted session keys (HMAC SHA-1 and Triple-DES keys). After the encrypted session is established, the Crypto-Officer accesses the Brick 1000's services through this session.

Through an encrypted session, the Crypto-Officer configures the module for use by IPSec clients. The Crypto-Officer loads IPSec SAs onto the module over the encrypted session, including any IPSec SA session keys. As part of these SAs, the Crypto-Officer configuration shared secret HMAC-SHA-1 keys used to authenticate the User to the module.

An operator assuming the Crypto Officer role performs all administrative functions listed below:

"begin tableload", "begin tableadd", "begin load", "sign table",	<pre>// prepare brick for complete policy download // prepare brick for policy download after failover // prepare brick for a single zone download // signature for complete policy download</pre>			
"sign domain",// sign	ature for a single zone download			
"abort load",	// stop load			
"end tableload",	// signal end of complete policy download			
"end load",	// signal end of a single zone download			
"switch over",	// make policy just downloaded current			
"add table",	// add a single zone/policy assignment			
"add ethertype",	// add an ethernet type to the brick			
"switch ethertype",	// make the pending ethernet type list active			
"add dsap",	// add a dsap type to the brick			
"switch dsap",	// make the pending dsap list active			
"add route",	// add a static route			
"add proxy",	// add a proxy (ie, for virus scanning)			
"add dynamic proxy", // same, but make it active immediately				

"add dynamic rule", "delete dynamic rule" "add mask", "add hostgrp",	<pre>// add a rule to the currently loaded policy // same, but make it active immediately // // add a dependency mask entry // add a host (range) to a host group 0",// same, but make it active immediately</pre>
	// add a service to a service group
	',// same, but make it active immediately
"set comm",	// change the serial port settings (baud rate, parity)
	// make the firewall inactive
,	<pre>// activate the firewall after a disable // allow current mac table entries to be updated once</pre>
	// allow current ARP table entries to be updated once
"add ipsec",	// add a single Security Association for IPSec VPN
1 ·	// same, but make it active immediately
"delete dynamic ipsec	-
"what are you",	// ask the brick what version, hardware is installed
"delete session",	// delete an entry from the session cache
"config",	// configure Intelligent Cache Management, UDP encapsulation, or NAT table features
"add interface",	// configure interface settings
"add vlanip",	// configure VLAN settings
"set timeoffset",	// control the brick clock
,	
"read rules",	// read the current rules
"read table",	// read the current zone assignment table
"read cache",	// display certain information about session cache
"mand dominfall	entries (IP address, services, # of bytes)
"read dominfo", "read tblinfo",	<pre>// display name and date of signer for a zone policy // display name and date of signer for zone</pre>
read tonnio ,	assignment table
"read sas",	// retrieves some information about the Security
	Association, specifically, SPI, algorithm,
	IP addresses, TEP, timeouts. Keys are
	not retrievable.
"read hostgroups",	// retrieve contents of host groups
	<pre>// retrieve contents of service groups</pre>
"read routes",	// retrieve route list
	// display count of SAs involved in IKE
"read minos",	// display basic info about the brick such as
	software version, MAC move settings, Encryption card present/active, firewall enabled.
"read vlans",	// display vlan info
ioua viano,	and and and a start mile

"reboot"	// reboot brick
"failover"	// makes a failover pair of bricks switch over
"rehome"	// makes a brick try to connect to a particular LSMS from its list.
"set clock"	// sets clock
"memory peek"	//allows the Crypto-Officer to view the contents in memory
"poke show"	//allows the Crypto-Officer to write to the memory

#### 2.3.2 User Services

The User role has access to the IPSec services of the module as a VPN client. Looking at Figure 1 above, the Brick provides the User role to the Remote Users in that diagram. A User authenticates to the module per packet using the shared secret HMAC-SHA-1 key configured by the Crypto-Officer. Through IPSec, the User role has access to some of the module's cryptographic functionality, including Triple-DES encryption/decryption and HMAC-SHA-1 calculation/verification.

#### 2.3.3 Unauthenticated Services

The Brick also provides the following unauthenticated services to an operator with physical access to the Brick (keyboard and monitor). These services include viewing the module's LEDs, powering the module on and off, and the following status commands:

"help",	//prints list of commands"
"help <cmd>",</cmd>	//prints help for <cmd></cmd>
"logout",	//logout from remote port
"repeat",	//repeat the previous command
"refresh ",	//refresh brick's mac or arp table
"display arptable",	//display contents of the arp table
"display configuration",	//prints the inferno.ini file
"display encapsulation <zone>",</zone>	//display UDP encapsulation info for the zone
"display failover",	//display failover status
"display files <filepath>",</filepath>	//print the names of the files
"display hostgroups <zone>",</zone>	//display a zone's hostgroup definitions
"display icm",	//display ICM info
"display interfacestatus [ <if>]",</if>	//display information about an interface's NIC
"display lsms",	//print the current LSMS connected (or the lst LSMS)
"display mactable [ <if>]",</if>	//display MAC table for the specified interface
"display mempools",	//print information on 5 memory pools of the brick
"display nat <zone>",</zone>	//print information about NAT tables for a zone
"display policy zone>",	//prints the ruleset for the specified zone
"display remoteconsole",	//display information about the remote console
"displayroutes [ <if>]",</if>	//display routing information for an interface
"display sa <zone>",</zone>	//display a zone's current security associations
"display servicegroups <zone>",</zone>	//display a zone's servicegroup definitions
"display sessions <zone> [<ip-addr></ip-addr></zone>	>], //prints the zone's session cache optionally filtered
by an IP address	
"display time",	//print the brick's current time in GMT
"display vlans",	//displaly vlan ip subnets and port membership

```
"display zonetable",
                                       //display the brick's zone assignment table
"failover",
                                       //display a failover status
"failover yield [force]",
                                       //switch from active to standby
"trace arp on [yes|no]",
                                       //trace arps (with optional full packet dump)
                                       //disable arp tracing
"trace arp off",
"trace audit filter <filter-list>",
                                       //define an audit filter
"trace audit modify <filter-id><filter-list>", //modify existing audit filter
"trace audit delete <filter>",
                                       //delete the specified filter
"trace audit on [<filter-id|a|p>]",
                                       //enable all filters or the specified filter
"trace audit off [<filter-id|a|p>]",
                                       //disable all filters or the specified filter
"trace packet list",
                                       //print the list of current packet filters
"reboot [<msg>]",
                                       //reboots the brick with an optional message in the audit log
"set screensize [<size>]",
                                       //set or display the screensize, default=23
"set printing [on|off]",
                                       //set or display the tracing print value
                                       //set or display the baudrate of the remote port
"set baudrate <rate>"
"set throttle <interval>",
                                       //set number os seconds b/w identical audit msgs
"set errors [on|off]",
                                       //set or display the critical error value
"modem <cmd>",
                                       //send the <cmd> to the brick's modem, use " to enclose
blanks
```

#### 2.4 Physical Security

The module is enclosed in a strong steel enclosure that completely covers all of the module's internal components. Access to the module is provided through well-defined interfaces as described in section 2.2. In order to provide evidence of attempts to physically tamper with the Brick 1000, the module's case must be affixed with tamper-evident labels. The application of tamper-evident labels is described in section 3.

#### 2.5 Cryptographic Key Management

The module supports the following FIPS-approved algorithms for use in a FIPS mode of operation: DES, Triple-DES, SHA-1, and DSA. Additionally, the module supports the following algorithms for use in a FIPS mode of operation: HMAC with SHA-1 (for authentication purposes only) and Diffie-Hellman. The module also supports MD5 and RC4, which are not approved for use in FIPS mode of operation. The following table describes the keys used by the module:

Key	Key type	Generation	Storage	Use
LSMS CA	DSA public	Externally generated	Non-volatile memory	Verify public key
public key	key	and entered by CO	in plaintext	certificates
LSMS	DSA public	Externally generated	RAM only	Authentication
public key	key	and exchanged		during LSMS
		during LSMS session		session handshake
		handshake		
DSA key	DSA key pair	Externally generated	Non-volatile memory	Authentication
pair for		and entered by CO	in plaintext	during LSMS
Brick 1000				session handshake
Diffie-	Diffie-	Diffie-Hellman key	RAM only (Diffie-	Key agreement
Hellman	Hellman key	generation	Hellman public	during LSMS

key pairs	pair		parameters stored in non-volatile memory)	session handshake
Policy	DSA public	Externally generated	Non-volatile memory	Verification of
Signatory	key	and entered by CO	in plaintext	Brick 1000 policy
public key	-			integrity
Session	DES/TRIPLE-	Generated by LSMS	RAM only	Secure LSMS
keys for	DES keys and	session handshake		session traffic
LSMS	HMAC-SHA1			
Session	DES/TRIPLE-	Externally generated	RAM only	Secure IPSec traffic
keys for	DES key	and entered by the		and authenticate
IPSec	-	LSMS		
Session	HMAC-SHA1	Externally generated	RAM only	Authenticate Users
keys for	key	and entered by the		via HMAC-SHA-1
IPSec		LSMS		verification

Table 4 – Description of the Module's Keys

The LSMS CA public key is the public key (loaded during initialization) stored in plaintext in the module's non-volatile memory. The module uses this key to verify the authenticity of signed certificates.

The LSMS public key is the key of the LSMS. This key is contained within a certificate signed with the CA private key. The LSMS provides the Brick 1000 this key during establishment of an encrypted session to allow the Brick 1000 to authenticate the LSMS. This key is only stored in volatile memory during the LSMS session handshake and can be destroyed by powering off the module.

The DSA key pair for the Brick 1000 is generated externally by the LSMS. This key pair is loaded onto the module during initialization of the module via the disk drive. This key pair is stored in non-volatile memory on the module and can be destroyed by loading a new key pair during re-initialization the module from a diskette.

The Diffie-Hellman key pairs are generated as needed by the LSMS session handshake to perform LSMS session key agreement. These keys are stored in volatile memory and can be destroyed by powering down the module. Additionally, the public parameters are stored the module's non-volatile memory.

The Policy Signatory public key is a DSA public key generated external to the Brick 1000 and is contained in a digital certificate signed by the LSMS CA private key. This public key is loaded onto the module by the Crypto-Officer and is stored with the module's configuration policy. This key is used to verify the integrity of the module's configuration policy. It can be zeroized by the Crypto-Officer by loading a new Policy Signatory public key onto the Brick 1000.

Session keys for LSMS are established by the LSMS session handshake protocol. These keys are used to encrypt the LSMS session with the Brick 1000 and are stored in volatile memory. The keys can be destroyed by powering down the module.

Session keys for IPSec are ephemeral keys established for IPSec connections. These keys are automatically loaded onto the module by the Crypto-Officer over a secure LSMS session as part of an IPSec SA. These keys can be destroyed by powering down the module.

### 2.6 Electromagnetic Interference/Electromagnetic Compatibility (EMI/EMC)

The module has been tested and found to comply with the limits for a Class A digital device pursuant to Part 15 of the FCC rules. Thus, the module meets FCC requirements in 47 CFR Part 15 for personal computers and peripherals designated for Business use (Class A). The module is labeled in accordance with FCC requirements with the appropriate FCC warnings.

### 2.7 Self Tests

The Brick 1000 runs self tests during power-up and conditionally. The self-tests run at power-up include known answer tests (KAT) on the FIPS-approved cryptographic algorithms (DES, Triple-DES, SHA-1), a sign and verify test for DSA, a firmware/software integrity, a Diffie-Hellman critical function test. The following tests are also run conditionally: a bypass test run whenever the configuration file is modified, and a continuous RNG test run each time the PRNG is used to generate random data.

A software integrity test using a CRC-32 is also performed at startup. The bootstrap verifies the CRC-32 of the compressed OS image is correct before booting from flash. If the image is valid, the OS is uncompressed and boots. Otherwise, the OS load is aborted. The CRC-32 check must be also done after downloads to RAM when a run command is performed to boot the OS.

The module contains the following FIPS-approved Power-up Tests for the Brick 1000's Encryption Accelerator card (EAC):

- DES Known Answer Test
- 3DES Known Answer Test
- SHA-1 Known Answer Test

The EAC does not do a separate firmware CRC check. However, this EAC firmware is embedded in the Brick 1000 firmware and both are included in the Brick 1000's CRC check. The process of loading the EAC firmware into the EAC is a copy from the memory space on the motherboard to the memory space on the EAC (followed by starting the processor).

### **3** Secure Operation

The module is capable of both a FIPS mode of operation and a non-FIPS mode of operation. The following instructions detail how to configure the module for a FIPS mode of operation.

The module is initialized by loading configuration information from a floppy disk. This disk must be generated by the Crypto-Officer using version 6.0.554 of the LSMS and only version 6.0.544 of the Brick 1000 firmware is allowed for FIPS mode of operation. Once the configuration is loaded onto the module, the Crypto-Officer is able to access the module over an authenticated and encrypted LSMS session.

After the Brick 1000 has been configured via the floppy disk drive, tamper-evident labels must be affixed to the module. The floppy drive is not accessible after the module has been initiated. The Crypto Officer must apply tamper-evidence labels as follows:

- 1. Clean the cover of any grease, dirt, or oil before applying the tamper evidence labels. Alcohol-based cleaning pads are recommended for this purpose. The ambient air must be above 10° C, otherwise the labels may not properly cure.
- 2. Having the front cover facing you, place the first label on the top left of the module as shown in figure 2. The tamper evidence label should be placed so that the one half of the tamper evidence label covers the enclosure and the other half covers the side of the module. Any attempt to remove the enclosure will leave tamper evidence.
- 3. Place the second label on the top right of the module as shown in figure 2. The tamper evidence label should be placed so that the one half of the tamper evidence label covers the enclosure and the other half covers the side of the module. Any attempt to remove the enclosure will leave tamper evidence.
- 4. Place the third label on front bezel of the module as shown in figure 2. The tamper evidence label should be placed so that the one half of the tamper evidence label covers the enclosure and the other half covers the front of the module. Any attempt to remove the enclosure will leave tamper evidence.
- 5. Place the fourth label on the back right of the module as shown in figure 3. The tamper evidence label should be placed so that the one half of the tamper evidence label covers the enclosure and the other half covers the first power supply. Any attempt to remove the enclosure will leave tamper evidence.
- 6. Place the fifth label on the back right of the module as shown in figure 3. The tamper evidence label should be placed so that the one half of the tamper evidence label covers the enclosure and the other half covers the redundant power supply. Any attempt to remove the enclosure will leave tamper evidence.
- 7. Having the front cover facing you, turn the Brick 1000 so that the bottom is facing up. Place the sixth label on the top left of the module, synonymous to step 2. The tamper evidence label should be placed so that the one half of the tamper evidence label covers the enclosure and the other half covers the side of the module. Any attempt to remove the enclosure will leave tamper evidence.
- 8. Place the seventh label on the top right of the module, synonymous to step 3. The tamper evidence label should be placed so that the one half of the tamper evidence label covers

the enclosure and the other half covers the side of the module. Any attempt to remove the enclosure will leave tamper evidence.



Figure 2 – Tamper evidence label placement on front panel/top



Figure 3 -- Tamper evidence label placement on back panel

The placement of the labels should be consistent with the instructions and the images above. The tamper evidence seals have a special adhesive backing to adhere to the module's painted surface. Removing the power panel, network interface panel, bottom cover or top cover will damage the tamper evidence seals. Tamper evidence labels can be inspected for signs of tampering, which include the following: curled corners, bubbling, and rips.

In addition, the word "Opened" will appear on the label if it was peeled away from the surface of the module. The tamper evidence labels have individual, unique serial numbers, and the labels may be inspected periodically and compared against the applied serial numbers to verify that the fresh labels have not been applied to a tampered module.

The Crypto Officer must securely store tamper evidence labels before use. After applying the tamper-evidence labels, the Crypto Officer must securely store any unused labels.

In order to ensure only FIPS-approved algorithms are used on the module, the Crypto-Officer must disable failover and only configure the module with IPSec SA's that use FIPS-approved algorithms. These algorithms are HMAC with SHA-1, DES, and 3DES. Additionally, the Crypto-Officer must ensure that all IPSec SA's provide both integrity (HMAC with SHA-1) and confidentiality (DES or 3DES). 3DES is the recommended algorithm to be used for encryption and decryption. DES is only to be used in legacy systems.

### Non-FIPS Approved Algorithms

The following algorithms are implemented in the module but cannot be used in FIPS-mode of operation:

- MD-5
- RC4