

NON-PROPRIETARY CRYPTOGRAPHIC MODULE SECURITY POLICY FOR THE

HP MSM430 DUAL RADIO 802.11N TAA AP, HARDWARE VERSION: J9654A;

HP MSM430 DUAL RADIO 802.11N AP (WW), HARDWARE VERSION: J9651A;

HP MSM430 DUAL RADIO 802.11N AP (JP), HARDWARE VERSION: J9652A;

HP MSM460 DUAL RADIO 802.11N TAA AP, HARDWARE VERSION: J9655A;

HP MSM460 DUAL RADIO 802.11N AP (WW), HARDWARE VERSION: J9591A;

HP MSM460 DUAL RADIO 802.11N AP (JP), HARDWARE VERSION: J9589A;

HP MSM466 DUAL RADIO 802.11N TAA AP, HARDWARE VERSION: J9656A;

HP MSM466 DUAL RADIO 802.11N AP (WW), HARDWARE VERSION: J9622A; AND

HP MSM466 DUAL RADIO 802.11N AP (JP), HARDWARE VERSION: J9620A

WITH

FIRMWARE VERSION: 5.6.0

DOCUMENT VERSION: 1.10 23 APRIL 2012



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1 INTRODUCTION

1.1 PURPOSE

This document defines the security policy for the following wireless access points:

MSM430

HP MSM430 Dual Radio 802.11n TAA AP (hardware version: J9654A);
HP MSM430 Dual Radio 802.11n AP (WW) ((hardware version: J9651A); and
HP MSM430 Dual Radio 802.11n AP (JP) ((hardware version: J9652A);

MSM460

- HP MSM460 Dual Radio 802.11n TAA AP ((hardware version: J9655A);
- HP MSM460 Dual Radio 802.11n AP (WW) ((hardware version: J9591A); and
- HP MSM460 Dual Radio 802.11n AP (IP) ((hardware version); AP) (IP) (hardware version);

- HP MSM460 Dual Radio 802.11n AP (JP) ((hardware version: J9589A); and

MSM466

HP MSM466 Dual Radio 802.11n TAA AP ((hardware version: J9656A);
HP MSM466 Dual Radio 802.11n AP (WW) ((hardware version: J9622A); and
HP MSM466 Dual Radio 802.11n AP (JP) ((hardware version: J9620A).

TAA stands for Trade Agreements Act; WW stands for worldwide; and JP stands for Japan.

These access points all have firmware version 5.6.0.

The designation HP MSM4xx AP will be used to refer to an access point when the statement made applies to any of the access points covered by this document.



1.2 SCOPE

This document is written in accordance with the requirements of Appendix C of FIPS PUB 140-2 and includes the rules derived from the requirements of FIPS PUB 140-2 and the rules derived from any additional requirements imposed by the vendor.



1.3 INTENDED USE

This document is intended to be used:

- a. To provide a specification of the cryptographic security that will allow individuals and organizations to determine whether the HP MSM4xx AP, as implemented, satisfies a stated security policy; and
- b. To describe to individuals and organizations the capabilities, protection, and access rights provided by the HP MSM4xx AP, thereby allowing an assessment of whether the module will adequately serve the individual or organizational security requirements.

1.4 ACRONYMS

| AES ANSI AP ASCII | Advanced Encryption Standard American National Standards Institute Access Point American Standard Code for Information | |
|----------------------------|---|--|
| | Interchange | |
| CA | Certificate Authority | |
| CAVP | Cryptographic Algorithm Validation Program | |
| CBC | Cipher Block Chaining | |
| CCM | Counter with Cipher Block Chaining Mode | |
| CCMP | Counter Mode with Cipher Block Chaining | |
| | Message Authentication Code Protocol | |
| CFR | Code of Federal Regulations | |
| CMVP | Cryptographic Module Validation Program | |
| CPU | Central Processing Unit | |
| CSEC | Communications Security Establishment | |
| | Canada | |
| CSP | Critical Security Parameter | |
| DHCP | Dynamic Host Configuration Protocol | |
| DNS | Domain Name Service | |
| EAP | Extensible Authentication Protocol | |
| EAPOL | EAP Over LAN | |
| ECB | Electronic Codebook | |
| ED | Electronic Distribution | |
| EE | Electronic Entry | |
| | | |



| EN (C | |
|----------------|--|
| EMC | Electromagnetic Compatibility |
| EMI | Electromagnetic Interference |
| ESP | Encapsulating Security Payload |
| FCC | Federal Communications Commission (US) |
| FIPS | Federal Information Processing Standard |
| FIPS PUB 140-2 | FIPS Publication 140 Second Revision (2) |
| HMAC | Keyed-Hashing for Message Authentication Code |
| HP | Hewlett-Packard |
| HTTP | Hypertext Transfer Protocol |
| IEEE | Institute of Electrical and Electronics Engineers |
| IP | Internet Protocol |
| IPSec | Internet Protocol Security |
| IT | Information Technology |
| JP | Japan |
| KCK | Key Confirmation Key |
| KEK | Key Encryption Key |
| LAN | Local Area Network |
| LED | Light Emitting Diode |
| L2TP | Layer Two (2) Tunneling Protocol |
| MAC | Media Access Control or Message Authentication Code |
| MD | Manual Distribution or Message Digest |
| MHz | Megahertz |
| MPDU | MAC Protocol Data Unit |
| MSM | Multiservice Mobility |
| NAND | Not AND (a type of flash memory) |
| NIST | National Institute of Standards and Technology |
| N/A | Not Applicable |
| PEAP | Protected Extensible Authentication Protocol |
| PKCS#1 | Public Key Cryptographic Standard #1 |
| PMK | Pairwise Master Key |
| PPTP | Point-to-Point Tunneling Protocol |
| PRF | Pseudo-Random Function |
| PRNG | Pseudo-Random Number Generator |
| PSK | Preshared Key |
| PTK | Pairwise Transient Key |
| RSA | Rivest Shamir Adleman asymmetric cryptographic algorithm |
| RSN | Robust Security Network |
| SDRAM | Synchronous Dynamic Random Access Memory |
| | • • • |
| SHA-1 | Secure Hash Algorithm First Revision (1) |
| SOAP | Simple Object Access Protocol |
| SP | Special Publication |
| SSL | Secure Sockets Layer |



| TAA Trade Agreements Act | |
|--------------------------|-----------------------------------|
| TLS | Transport Layer Security |
| TTLS | Tunneled Transport Layer Security |
| Triple DES | Triple Data Encryption Standard |
| UDP | User Datagram Protocol |
| VLAN | Virtual Local Area Network |
| VPN | Virtual Private Network |
| VSC | Virtual Service Community |
| WPA2 | WiFi Protected Access version 2 |
| WW | Worldwide |



2 HP MSM4XX ACCESS POINTS OVERVIEW

The HP MSM4xx APs enable strong security for wireless enterprise networking using IEEE 802.11i RSN encrypted wireless communication. They are intended for enterprise office environments of differing scales, from the corporate headquarters to remote branch sites, and therefore have been designed with ease of use in mind, making deployment and remote administration as easy as possible.

Supporting up to 255 concurrent sessions on each of its dual radios (100 stations in FIPS approved mode), the HP MSM4xx APs enable secure mobile access to IT resources within enterprise environments. They securely deliver enterprise networking without bounds, significantly increasing employee productivity in corporate offices, in decentralized/remote workgroups, and in branch locations with broadband access.

An access point may be referred to as the HP MSM4xx AP, the access point, the unit, or the cryptographic module throughout the document. When any of these designations are used, the statements made apply to any of the access points covered by this Security Policy.

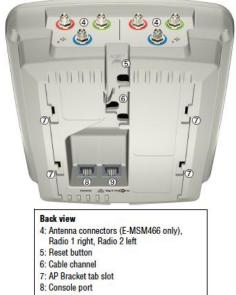
2.1 ENCLOSURE AND CONNECTORS

Figure 1 shows the front of the HP MSM4xx APs with the four LEDs and the holes. **Figure 1** also shows the underside of the HP MSM466 with its antenna connectors, the reset button, and the console and Ethernet ports. The underside of the HP MSM430 and the HP MSM460 is the same as the underside of the HP MSM466 except they do not have any antenna connectors.

Figure 2 shows the underside of the HP MSM430/460 from the perspective of looking at the console port and Ethernet port. There are no antenna connectors as can be seen from the bottom of the photograph.







9: Ethernet port

Figure 1 – HP MSM4xx APs





Figure 2 – Back View of the HP MSM430/460

2.2 CRYPTOGRAPHIC MODULE PORTS AND INTERFACES

Table 1 lists the interface types for the HP MSM4xx APs and maps eachinterface to the associated ports.

| Interface | Туре | Direction | Description | Related Hardware Port |
|--------------------------|------------------|--------------------|--|-----------------------------------|
| Cryptographic Control | Control Input | To HP MSM4xx AP | A HP MSM765zl Mobility Controller allows the Administrator to control the operation of the cryptographic module. | Ethernet Port, Console Port |



| Cryptographic | Status | From HP | Success of the power-up self-tests | LED Array, |
|---------------|---------|-----------|--------------------------------------|---------------|
| Status | Output | MSM4xx AP | is indicated by the first three LEDs | Ethernet |
| | | | blinking sequentially, the LED | Port, |
| | | | pattern that indicates the AP has | Console Port |
| | | | received an IP address and is | |
| | | | looking for a controller. A web | |
| | | | browser interface for the managing | |
| | | | HP MSM765zl Mobility | |
| | | | Controller presents the current | |
| | | | status of the cryptographic module | |
| | | | to the Administrator. | |
| Operational | Control | To HP | A web browser interface for the | Ethernet |
| Control | Input | MSM4xx AP | managing HP MSM765zl Mobility | Port, |
| | | | Controller allows the | Console Port |
| | | | Administrator to control the | |
| | | | operation of the access point or | |
| | | | cryptographic module | |
| Operational | Status | From HP | Operational status is presented on | LED Array, |
| Status | Output | MSM4xx AP | the LED array. A web browser | Ethernet |
| | | | interface for the managing HP | Port, |
| | | | MSM765zl Mobility Controller | Console Port |
| | | | provides the current status of the | |
| | | | HP MSM4xx AP to the | |
| | | | Administrator. | |
| Input Data | Data | To HP | Users of the HP MSM4xx AP | Antennas, |
| | Input | MSM4xx AP | are allowed to send data to it over | Ethernet Port |
| | | | the Input Data interface. | |
| Output Data | Data | From HP | Users of the HP MSM4xx AP | Antennas, |
| | Output | MSM4xx AP | receive data from it over the | Ethernet Port |
| | | | Output Data Interface. | |
| Power | Power | To HP | Power over Ethernet | Ethernet Port |
| | | MSM4xx AP | | |
| | | | | |

Table 1 – Cryptographic Module Ports and Interfaces

The console port does not need to be used when an HP MSM765zl Mobility Controller is being used to setup and manage the access point. By default, the console port is not activated.



2.3 TAMPER EVIDENT SEALS

This section describes where the tamper evident seals must be affixed to the HP MSM4xx APs for them to meet FIPS 140-2 Physical Security Level 2. The tamper evident seals are not affixed to an HP MSM4xx AP when it is delivered; they must be affixed by the Crypto-Officer before operating in the FIPS approved mode of operation.

Please note that a tamper evident seal is to be affixed over the reset button. The tamper evident seal shall not be affixed over the reset button until all functional steps to put the access point in the FIPS approved mode of operation are completed.

Three tamper evident seals are required for each HP MSM430, HP MSM460, or HP MSM466 access point.

The surface to which any seal is applied must be clean and dry. The backing material from the seal must be peeled away without touching the adhesive. (Fingers should not be used to directly peel the seals.) The seal must be affixed to one of the locations on the access point indicated in **Figure 3** applying very firm pressure across the entire surface of the seal.

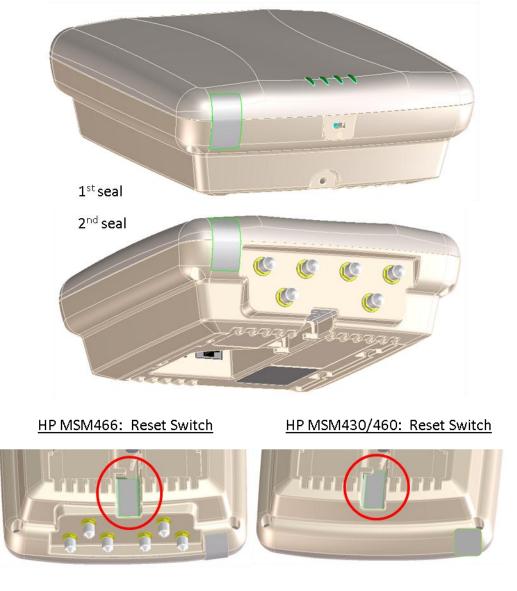
Thirty minutes are needed for the adhesive to cure. Tamper evidence may not be apparent before this time and the access point must not be placed into operation until the curing time has expired.

If additional seals are required, the HP part number is J9740A. The kit has 20 tamper evident seals. Extra seals must be stored in a secure location, with access available only to authorized Administrators.

Figure 3 illustrates where the tamper evident seals must be affixed on the HP MSM430, HP MSM460, and HP MSM466 Access Points. One seal should be affixed to each location indicated. These seals prevent the interior of the enclosure from being accessed without their being evidence of tampering.

Figure 4 shows the second seal affixed on an HP MSM430/460.





3rd seal

Figure 3 – Placement of Tamper Evident Seals on the HP MSM430, HP MSM460, and HP MSM466 Access Points





Figure 4 – Back of an HP MSM430/460 with the Tamper Evident Seal (Second Seal) Affixed

The tamper evident seals shall be installed for the module to operate in a FIPS approved mode of operation.

2.4 FEATURES

The HP MSM4xx AP provides:

- a. WPA2-based encryption and authentication on the wireless networks;
- b. Secure management from the HP MSM765zl Mobility Controller using TLS sessions; and
- c. Efficient cryptography via the radio module processor and general-purpose dualcore processor.

2.5 HP MSM4XX AP CRYPTOGRAPHIC MODULE BOUNDARY

The HP MSM4xx AP cryptographic module boundary is the hard plastic enclosure surrounding the entire access point. The HP MSM4xx AP is a multiple-chip standalone cryptographic module.

The primary components of the HP MSM4xx AP providing cryptographic functionality are the main CPU, the radio module processor, the memory, and the LED array.



2.6 FIPS PUB 140-2 TARGETED SECURITY LEVELS

Table 2 specifies the security level targeted for each of the sections of FIPS 140-2.

| FIPS 140-2 Section | Target Security Level |
|--|-----------------------|
| 4.1 Cryptographic Module Specification | 2 |
| 4.2 Cryptographic Module Ports and | 2 |
| Interfaces | |
| 4.3 Roles, Services, and Authentication | 2 |
| 4.4 Finite State Model | 2 |
| 4.5 Physical Security | 2 |
| 4.6 Operational Environment | Not Applicable |
| 4.7 Cryptographic Key Management | 2 |
| 4.8 Electromagnetic | 2 |
| Interference/Electromagnetic Compatibility | |
| (EMI/EMC) | |
| 4.9 Self-Tests | 2 |
| 4.10 Design Assurance | 2 |
| 4.11 Mitigation of Other Attacks | Not Applicable |

Table 2 – FIPS 140-2 Targeted Security Levels for Requirement Sections

3 PRODUCT OPERATION

3.1 OVERVIEW

HP MSM4xx Access Points are general-purpose wireless network devices whose operational mode is configurable through an administrative interface. Each access point can operate in one of two modes:

- 1. **Controlled mode**: The access point is centrally managed and configured via a HP MSM765zl Mobility Controller. Management and configuration of the access point is done entirely with the controller and not performed through a console directly connected to the access point. This is the factory-default mode.
- 2. **Autonomous mode**: The access point is a standalone device that is individually configured and managed.

Only the Controlled mode of operation is to be covered by the FIPS 140-2 validation. The Autonomous mode of operation is not covered.



To configure the access point in the FIPS approved mode of operation, refer to section **3.2**.

The MSM430, MSM460, and MSM466 802.11n Access Points Quickstart and the HP MSM3xx / MSM4xx Access Points Management and Configuration Guide can be consulted for a complete discussion of each model's operation.

3.2 FIPS APPROVED MODE OF OPERATION: CONTROLLED MODE

The FIPS approved mode of operation is a special configuration of the HP MSM4xx AP in which the FIPS validated version of the firmware is loaded on the unit, the unit is configured to operate in the FIPS 140-2 mode; and the wireless LAN is configured to use WPA2 or no encryption.

The following steps to configure the access point in the FIPS approved mode of operation assume the following:

- The administrator has received an access point with an installed firmware version that is not FIPS 140-2 validated. This is likely because the FIPS 140-2 validated version of the firmware will be available on the Hewlett-Packard website.
- The access point is in controlled mode.
- The unit has never been synchronized to a controller. If it was once connected to a controller, the web interface will be completely shut down. The only way to bring back up the provisioning interface would be to use the reset button.
- The access point is on a network where no controller can be discovered through the UDP broadcast or DHCP option mechanism, nor by resolving the cnsrv1/cnsrv2/cnsrv3 predefined DNS host names.

STEP 1: LOAD THE FIPS VALIDATED FIRMWARE ON THE ACCESS POINT

- A. Using a tool such as a paper clip, press and hold the reset button on the access point for a few seconds until the front status LEDs blink three times to zeroize any CSPs in the access point.
- B. Using a web browser go to the default address of the access point or, if DHCP server is present on the network, find out what IP address it has assigned to the access point. The rest of this document assumes that the AP can be reached at its default address of 192.168.1.1
- C. Login using the default username/password = admin/"admin".



| (IP) | E-MSM460 | System name: CN0ZD33014 |
|--------------------------|---------------------------------------|--|
| | | |
| Welcome to E-MSM460 M | HP MultiService Access Poi | pint |
| | Ethernet base M Wireless MAC addre | ent IP address: 192.168.1.1 a MAC address: F0:62:81:4B:01:85 dress (radio 1): D4:85:64:89:B8:00 dress (radio 2): D4:85:64:89:B8:10 Uptime: 1 minutes |
| | Soft | oftware version: 5.5.2.14-01-10104 |
| | Username: admin | Password: Login |
| | | |
| ß | | |
| | | © 2011 Hewlett-Packard Development Co., L |

D. Click on the "Switch to Autonomous Mode" button in order to load the FIPS validated firmware.



| In | E-MSM460 | System name: CN0ZD33014 |
|------------------------|---|--------------------------------------|
| WP | Home | Logout |
| | | |
| | | |
| 🚼 You are in | controlled mode. <u>Click here for more inform</u> | nation. |
| Welcome to E-MSM460 | HP MultiService Access Point | ? |
| | Current IP address: 192.1 Ethernet base MAC address: F0:62 Wireless MAC address (radio 1): D4:85 Wireless MAC address (radio 2): D4:85 | :81:4B:01:85 5:64:89:B8:00 |
| | Uptime: 6 min | utes |
| | Software version: 5.5.2. Hardware revision: 39590 Serial number: CNOZI Operational mode: Contr | I-60001:52-A D33014 |
| | Switch | to Autonomous Mode Provision Restart |



- E. Click the "OK" button when prompted.
- F. Login again with admin/admin when the home page comes back. Also, accept the license and save the appropriate country when prompted.

Saving the country is the last required step. The next steps of the startup wizard are optional; they can be skipped by clicking on the home link.

G. Select the "Maintenance" tab and then the "Firmware updates" tab. Select the FIPS validated firmware from the filesystem and click on the "Install" button.

| In | 2 | E-MSI | M460 | | | | | System n | ame: CNOZD33014 |
|--------|-------------|------------------------|---|------------------------------|---|------------|--------|----------|-----------------|
| W. | | Home | | | | | | | Logout |
| vsc | Wireless | Network | Security | Authentication | Mana | gement | Status | Tools | Maintenance |
| | Config file | management | Firmware u | pdates Regi | stration | License | s Sys | tem E | ULA |
| Firmwa | re updates | | | | | | | | ? |
| | R | In loc rei Cu | al hard drive mote server. Irrent firmwar Innual install C:\M Day of wee Time of da UR | k: Everyday y: 00 : ۸۸ | • • • • • • • • • • • • • • • • • • • | ads from a | | | |



At this point, the correct firmware is loaded on the access point. In the further steps, the access point is switched to controlled mode and the firmware updates from the controller are disabled.

STEP 2: PROVISIONING FROM THE AUTONOMOUS MODE

A. The unit will reboot and go back to the home page. You can verify that you have the FIPS validated version of the firmware as it is displayed as the "Software version" on this web page. Please note that the version identification shown in the screenshot is not the FIPS validated version of the firmware. Login again with the admin/admin credentials.

Note that you may need to click the refresh button of the browser since the FIPS validated version of the new firmware has a new web server certificate. Because of the new web server certificate, the automatic reload of the home page to fail following the firmware upgrade.



B. Click on the "Maintenance" tab, then the "System" tab, and then click on the "Provision" button.

| h | 2 | E-MS | M460 | | | | | Syste | em nan | ne: CN0ZD33014 |
|--------|------------------------------|--------------|----------|----------|---------|--------------------|----------------------------|--|--------------------|----------------|
| | 2 | Home | | | | | | | | Logout |
| VSC | Wireless | Network | Security | Authenti | ication | Manag | ement | Status To | ols | Maintenance |
| | Config file | e management | Firmware | updates | Regist | tration | Licenses | System | EUL | A |
| System | | - | - | - | - | - | - | - | • | |
| Save | system info n | nation | | | | Factory r | eset | | | |
| | iload system oubleshootin | | Down | load | | IMPORT erased a | ANT: All co and the E-M | 60 to its factor onfiguration se 1SM460 will res erational mode | ttings start in | will be |
| Resta | rt | | | | | | | Reset to I | Factor | / Default |
| Rest | art the E-MSM | 1460. | | | | Switch op | erational r | node | | |
| | | | F | lestart | | IMPORT | ANT: All co | 60 to controlle onfiguration se ory defaults. | | |
| | | | | | | | | Switch to C | ontrolle | ed Mode |
| | | | | | | Provision | for contro | lled mode ope | ration | |
| | | | | | | Provision | n the E-MS | M460 controlle | d mod | e settings. |
| | | | | | | | | | Pr | ovision |



C. Click on the "System" tab again. Select the "Ignore controller firmware update requests" and the "Test cryptographic system (FIPS compliant operation)" check boxes, and then click the "Save" button. Finally click on the "Restart" button on the left, which will reboot the device.

| | Inn | E-MSM460 | | System name: CN0ZD33014 | |
|---|-----|-------------------------------|------------------------|---|------|
| | | Home | | Logout | |
| | | | Provisi | ioning | |
| | | | Connectivity Discovery | Location System | |
| Summary | | 🛛 System | | | ? |
| AP is provisioned for controlled mode operation. | | | | | |
| Provisioned Connectivity No | | Firmware update | | Startup self-tests | |
| Discovery No Location No System Yes | | Ignore controller firmware up | odate requests | ☑ Test cryptographic system (FIPS compl operation) | iant |
| Info | | | | | Save |
| Once provisioning is complete you must restart the AP for your changes to take effect and to switch the AP to controlled mode. Restart You can also abort and continue operating the AP in autonomous mode. | | | Ş | | |
| Abort | | | | | |

After the reboot:

- The access point will switch from autonomous mode to controlled mode.
- The power-up self-tests will run.
- Firmware updates from the controller will be rejected.
- Only FIPS approved ciphersuites will be negotiated for the web server TLS.



STEP 3: PROVISIONING FROM THE CONTROLLED MODE

- A. When the home page comes back, login with username admin and password "admin", and click on the "Provision" button.
- B. Click on the "Discovery" tab. Select the "Controller authentication" box and enter the shared secret that will be used to authenticate the controller, and then save. You may also at this point provision any other settings that are necessary in your particular network (see admin and deployment guides for the access points).

| Im | E-MSM460 | System name: CN0ZDWZ019 |
|----|-----------------------|-----------------------------------|
| | Home | Logout |
| | | Provisioning |
| | | Connectivity Discovery |
| | Discovery | ? |
| | Discovery | * |
| | Discover using DNS | ? Discover using IP address ? |
| | Names to search for: | Addresses to search for: |
| | ĥ | î |
| | | |
| | | |
| | | |
| | × | * * |
| | Name: | IP address: |
| | Remove Add | Remove |
| | Domain name: | |
| | Primary DNS server: | Controller authentication |
| | Secondary DNS server: | Controller shared secret: |
| | | Confirm controller shared secret: |
| | | |
| | | Save |
| | | |



C. If you provision an optional Local Mesh link, you must select "Security" and select "AES/CCMP" encryption and then input a FIPS-compliant AES/CCMP secret key.

| | (hīn | E-MSM460 | | | | System name: CN0ZD33014 | |
|--|------|--|--------------|-----------|---------------------|--|---|
| | WP | Home | | | | Logout | |
| | | | | Provision | ing | | |
| | | | Connectivity | Discovery | Location | System | |
| Summary | | Connectivity | | | | | ? |
| Controlled AP is NOT provisioned. | | | | | | | |
| Provisioned Connectivity No | | Interface | | | Local mesh | n settings | |
| <u>Discovery</u> No LocationNo SystemNo | | Image: The second s | | | | : Any mesh A mesh with ID: 1 | |
| Info Once provisioning is complete you must restart the AP for your changes to take effect. | | No VLÂN VLÂN ID: | | | | Security: AES/CCMP ▼ Key: ●●●●●●●● | _ |
| Restart | | Assign IP address via | | | Conf | irm key: | |
| Restart and stop the provisioning | | DHCP client Static | 2 | | Count ry | UNITED STATES 🔻 | |
| | | Static IP settings | 20 | | _ | | |
| | | IP address: Mask: Default nateway: | | | | X P Method: PEAP version 0 • Jsername: | |



D. Click on the "Restart and stop the provisioning" button. This will reboot the access point and close down the provisioning interface. The access point can then be plugged in its final place of operation, where it will discover a controller and start offering services according to the configuration that the controller will send. Configuration of the access point that must be done is specified in section **3.3**.

The tamper evident seals must be affixed at the specified three locations before putting the access point into operation before running in the FIPS approved mode of operation.

Note that after this step, the only way to manage the device is through a controller. A tamper evident seal covers the hardware reset button when prepared for FIPS approved operation.

| In | E-MSM460 | System name: CN0ZD33014 |
|---|---|--|
| | Home | Logout |
| | | Provisianing |
| | Connectivit | Discovery Location System |
| Summary Controlled AP is NOT | Connectivity | ? |
| provisioned. <u>Provisioned</u> Connectivity No | Interface | Local mesh settings |
| Discovery No Location No System No | ☆ ✓ Port 1 ☆ ✓ Local mesh | Connect to: Any mesh Any mesh A mesh with ID: 1 |
| Info Once provisioning is complete your must restart the AP for your changes to take effect. | No VLAN VLAN ID: | ✓ Security: AES/CCMP ▼ Key: ●●●●●●●●●● |
| Restart | Assign IP address via | Confirm key: |
| Restart and stop the provisioning | DHCP client Static | Country UNITED STATES V |
| | Static IP settings | |
| | IP address: Mask: | EAP Method: PEAP version 0 - Username: |



3.3 FIPS APPROVED MODE OF OPERATION: CONFIGURATION TO BE DONE WITH THE HP MSM765ZL MOBILITY CONTROLLER

The following steps must be done through the HP MSM765zl Mobility Controller managing the access point to run the access point in the FIPS approved mode of operation.



STEP 1: SETTING TLSV1 FOR THE MANAGEMENT LINK FROM THE MOBILITY CONTROLLER

A. Select the "Management" tab and then select the "Management tool" tab. Select "TLSv1" from the drop-down list and select "FIPS compliant operation". Click on the "Save" button at the bottom of the page.

| In | MSM765 | System name: SG916GG00R | | | | | |
|----|--|---|--|--|--|--|--|
| | Home | Logout | | | | | |
| | urity VPN Controlled APs Authentication | Public access Users <mark>Management</mark> Status Tools Maintenanc SOAP CLI Management console System time Country | | | | | |
| М | lanagement tool configuration | | | | | | |
| | Administrative user authentication | Security policies ? | | | | | |
| | ✓ LocalRADIUS: No RADIUS defined> ▼ | Follow FIPS 140-2 guidelines Follow PCI DSS 1.2 guidelines | | | | | |
| | Manager account | Security ? | | | | | |
| | Username: admin Current password: New password: Confirm new password: | Access to the management tool is enabled for the addresses and interfaces that are specified below. Allowed addresses: IP address: Mask: Add | | | | | |
| | If a manager is logged in, then a new manager login: Terminates the current manager session Is blocked until the current manager logs out | Remove Selected Entry | | | | | |
| | Operator account ? | Active interfaces: Image: Constraint of the second secon | | | | | |
| | Username: Username: New password: Confirm new password: | VLAN/GRE (Select from the list): | | | | | |
| | If an operator is logged in, then a new operator login: | Web server ? | | | | | |
| | Login control î | Web server port: 80 | | | | | |
| | Lock access after 5 login failures Lock access for 5 minutes | FIPS compliant operation Auto-Refresh | | | | | |



STEP 2: ENTER THE SHARED SECRET FOR THE ACCESS POINTS TO BE CONTROLLED

A. Select the "Management" tab and then the "Device discovery" tab. Enter the same shared secret that was entered in B for STEP 3: PROVISIONING FROM THE CONTROLLED MODE. Click the "Save" button.

| Im | MSM765 | | | System | name: SG916GG | 00R | | |
|-----------|--|---------|---------------|-------------|-------------------------|---------------|-------|-------------|
| 49 | Home | | | | Log | out | | |
| Network | | | Public access | Users | Management | Status | Tools | Maintenance |
| Įv | Aanagement tool Teaming Device discovery | SNMP | SOAP CLI | : Man | agement console | System tir | ne C | iountry |
| | Discovery | | | | | | | |
| | Mobility controller discovery | | Controlled A | AP discove | ery. | | | |
| | This is the primary mobility control | ler | Discovery | priority of | this controller: | 1 | | |
| | IP address of the primary mobility cont | roller: | | Ad | tive interfaces: 🔽 L | .AN port | | |
| | | | | | I 1 | internet port | | |
| | | | [| Auther | nticate APs | | | |
| | | | | | red secret: ••• | | | |
| | | | Cor | nfirm shai | red secret: •••• | ••••• | | |
| | | | | | | | | |
| | | | | | | | Save | |

Note that "Authenticate APs" must be selected for the HP MSM765zl Mobility Controller to operate in the FIPS approved mode of operation.



STEP 3: SPECIFY THE WIRELESS SECURITY FOR THE ACCESS POINT

What encryption and authentication is offered on each wireless network is determined by the HP MSM765zl Mobility Controller.

The FIPS compliant configurations for a Virtual Service Community (VSC) that can be applied to an access point to operate in the FIPS approved mode of operation are the following:

- No security or authentication (open wireless network or bypass);
- WPA2 + preshared key;
- WPA2 + dynamic key, with EAP terminated at the controller; or
- WPA2 + dynamic key, with EAP terminated at an external Active Directory or RADIUS server¹.

The following pages illustrate how to configure each type of wireless network.

¹ The link between the controller and the external Active Directory or RADIUS server must be secured by IPSec.



• Open wireless network

Uncheck the "Wireless protection" checkbox and click on the "Save" button at the bottom of the page.

| hn | MSM765 | System name: SG916GG00R |
|---|--|--|
| | Home | Logout |
| | | Overview Configuration |
| | | VSC profile |
| Summary 😰 ? | Changing the configuration of this VSC: HP VSC profile | VSC will disconnect all authenticated users connected to this VSC. |
| Controlled APs Network Tree 🛱 ? | Global | ? Wireless protection WPA - |
| Controller VSCs HP Controlled APs Default Group <none configured=""></none> | Profile name: HP Use Controller for: 💟 Authenti 💟 Access c | |
| | Access control | *On radios in pure 802.11n mode WPA2 is always used instead of WPA a to 802.1x |
| | Users Identify stations based on IP addr Local NAS Id: | ess only 802.1X authentication |
| | VSC ingress mapping | 2 Local |
| | ✓ SSID ✓ VLAN <no defined="" vlan=""> ▼</no> | General RADIUS accounting; External-RADIUS ▼ |
| | Virtual AP | ? |



• WPA2 PSK

Select the "Wireless protection" checkbox. Select "WPA2 (AES/CCMP)" as the "Mode:" and "Preshared Key" as the "Key source:". Enter the Preshared Key. Click on the "Save" button at the bottom of the page.

• WPA2 with dynamic keys

Select the "Authentication" checkbox for "Use Controller for:". Select the "Wireless protection" checkbox. Select "WPA2 (AES/CCMP)" as the "Mode:" and "Dynamic" as the "Key source:". Click on the "Save" button at the bottom of the page.

| hn | MSM765 | | System name: SG916GG00R | | | | |
|----|--|-----------------------|--|--|--|--|--|
| | Home | | Logout | | | | |
| | | Overview | Carliguration | | | | |
| | | | VSC profile | | | | |
| | Changing the configuration of this VSC w | vill disconnect all a | authenticated users connected to this VSC. | | | | |
| | VSC: HP VSC profile | | | | | | |
| | | | | | | | |
| | Global | | ✓ wireless protection WPA ▼ | | | | |
| | Profile name: HP | | Mode*: WPA2 (AES/CCMP) | | | | |
| | <u> </u> | | Key source: Dynamic 🗸 | | | | |
| | Use Controller for: 🔽 Authentication | n | Terminate WPA at the controller | | | | |
| | Access cont | rol | *On radios in pure 802.11n mode WPA2 is always used instead of WPA | | | | |
| | Access control | | 802.1X authentication | | | | |
| | Present session and welcome page to 802.15 | users | Authentication | | | | |
| | Identify stations based on IP address only | | | | | | |
| | Local NAS Id: | | Remote | | | | |
| | VSC ingress mapping | | General | | | | |
| | SSID | | External-RADIUS | | | | |
| | | | | | | | |



The controller must be used for Authentication. Otherwise, the access point will communicate directly with the external RADIUS server to perform the EAP rather than using the controller as a termination or proxy of the EAP.



STEP 4: SET THE REQUIRED RESTRICTIONS

• Restrict the number of simultaneous wireless clients to 100 per access point.

Select the "Controlled APs" link in the left column and then select the link for the particular access point.

| | | MSM | 765 | | System name: \$G916GG00R |
|-----------------------------|------------|--|---------------------|----------------------------|------------------------------------|
| Ň | P | Home | | | Logout |
| | | | Overview Conf | iguration Group management | Tools Provisioning |
| | Radio list | Switch ports | 802.1X IGMP snoop | oing Local mesh Local ne | tworks LLDP RADIUS profiles Sensor |
| | | A | | | |
| Summary 🖞 ? | | Base Group: 🗛 | II Product radios | | |
| | | Product | Radio 1 | Radio 2 | Radio 3 |
| Controlled APs | | <u>MSM310</u> | AP 802.11b/g | 8 | 2 |
| | | <u>MSM320</u> | AP 802.11b/g | Monitor 802.11b/g | t. |
| Network Tree 🛛 🛱 ? | | <u>MSM335</u> | AP 802.11b/g | AP 802.11a | Monitor 802.11b/g |
| | | <u>MSM410</u> | AP 802.11n/a | | |
| 🖸 Controller | | <u>MSM422</u> | AP 802.11n/a | AP 802.11b/g | 2 |
| 🖸 VSCs | | <u>MSM317</u> | AP 802.11b/g | 2 | ñ |
| O HP | | E-MSM430 | AP 802.11n/a | AP 802.11n/b/g | 2 |
| Controlled APs | | <u>E-MSM460</u> | AP 802.11n/a | AP 802.11n/b/g | ň |
| Default Group | | <u>E-MSM466</u> | AP 802.11n/a | AP 802.11n/b/g | 8 |
| <none configured=""></none> | | 1000-000000000000000000000000000000000 | | | |



In the "Radios configuration" page, set the "Max clients:" to 100 for both radios. Click the "Save" button.

| (| MSM765 | System name: \$G | 916GG00R |
|-----------------------------|--|---|------------------------|
| <u>"</u> | Home | | Logout |
| | Overview | Configuration Group management Tools Provis | ioning |
| | Radio list Switch ports 802.1X IGMP : | snooping Local mesh Local networks LLDP | RADIUS profiles Sensor |
| Summary 😰 ? | E-MSM460 Radios configur | ation | |
| Controlled APs | 🗹 Radio 1 | ? 🗹 Radio 2 | |
| Network Tree 👘 ? | Regulatory domain: <u>UNITED STATE</u> | Regulatory domain: <u>UNITED S</u> | TATES |
| | Operating mode: Access point | tonly | point only 🔹 |
| 🖸 Controller | Wireless mode: 802.11n/a 🔻 | Wireless mode: 802.11n/ | b/g ▼ |
| USCs | Channel width: Auto 20/40 M | IHz Channel width: 20 MHz | - |
| • HP | Channel: Automatic | Channel: Automat | ic 👻 |
| Controlled APs | * = DFS <u>Importan</u> | nt note * = DFS Impe | ortant note |
| Default Group | Interval: Time of Day | ▼ Interval: Time of | Day 🔻 |
| <none configured=""></none> | Time of day: 01 AA 00 | በ mm Time of day: 01 አ | 6 00 <i>mm</i> |
| | Automatic channel 36,5 | | 1, 2.412GHz 🔺 |
| | exclusion list: Unannel 40, 5 | exclusion list: Unanner | 2, 2.417GHz |
| | Channel 44, 5 | 0.220GHz - Channel | 3, 2.422GHz 👻 |
| | Max clients: 100 | Max dients: 100 | |
| | + Advanced wireless settings | | ngs |
| | | | Save |
| | | | |

The maximum clients must be set to 100 or less so that the radio chip will be used for AES CCMP.

Note that the Administrator must ensure that the setting of the maximum clients is not changed in the group or access point lower level web pages.



• Use only one local mesh profile for the access point.

The reason for enforcing this is that the local mesh profiles use hardware encryption resources. This is why they are limited to only one per access point.

If an access point has been provisioned with a local mesh link, then no additional local mesh networks must be added to the access point. If the access point has not been provisioned with a local mesh link, then only one local mesh link can be added on the access point.

Local meshes can be defined at all three levels in the hierarchy "Controlled APs/AP group/Specific AP". Note that no more than one local mesh link must be provisioned for an access point so operators must be careful not to provision another local mesh link for an access point at another level of the hierarchy of the web management tool if a local mesh link has already been provisioned for the access point.

In this example one local mesh has been enabled for all the access points that belong to the group "Default Group".



| | | MSI | M765 | | Sy | ystem name: S | G916GG00R | |
|--|----------------|-------------|-----------|------------------------------|---------------|---------------|-----------|-----------------|
| , v | D | Home | | | | | Logout | |
| | | | Overview | VSC bindings Configuration | Group managem | ent Tools | Provisio | ning |
| | | Radios Swi | tch ports | 802.1X IGMP snooping | Local mesh Lo | cal networks | LLDP | RADIUS profiles |
| Summary | 戊 ? | Group: Defa | ult Group | Local mesh profiles | | | | ? |
| | | Inherited | Enabled | Name | | Mode | Mesh ID | Security |
| <u>Configured</u> | olled APs 1 | Yes | N/A | Local mesh provisioning prof | ìle | Slave | N/A | N/A |
| - | | No | Yes | Building 2 | | Master | 1 | AES/CCMP |
| Network Tree | rt) ? | Yes | No | Local mesh profile #2 | | Master | 1 | NONE |
| 1.1 | | Yes | No | Local mesh profile #3 | | Master | 1 | NONE |
| 🖸 Controller | | Yes | No | Local mesh profile #4 | | Master | 1 | NONE |
| VSCs | | Yes | No | Local mesh profile #5 | | Master | 1 | NONE |
| O HP | | Yes | No | Local mesh profile #6 | | Master | 1 | NONE |
| Controlled APs Default Grou AP-1 | P | | | | | | | |
| | | | | \$ | | | | |



The local mesh security must be set to AES/CCMP and the specified keys must be a minimum of 32 ASCII characters.

| In | MSM765 | | System nar | ne: \$G916GG0 | 0R |
|-----------------------------------|--|----------------|-------------------------------|---------------|-----------------|
| | Home | | | Loga | ut |
| | Overview VSC bindings | Configuration | Group management T | ools Provi | sioning |
| | Radios Switch ports 802.1X I | GMP snooping L | ocal mesh Local network | s LLDP | RADIUS profiles |
| Summary 🛱 ? | Group: Default Group Local mes | sh profile | | 🗖 Inł | nerited ? |
| Controlled APs Configured 1 | General | | Settings | | |
| Network Tree 👘 ? | enabled O Disa | bled | | Master | • |
| Controller | Name: Building 2 | | Mesh ID: Allowed downtime: | | - |
| VSCs HP | On dual-radio products Radio 1 only 🔻 | 1 | Maximum links: | | seconds |
| Controlled APs Default Group AP-1 | On triple-radio Radio 1 only products use: Radio 1 only Security AES/CCMP • Key: •••••• | ž |] | • | |
| | Confirm Key: | | 4 | | Save |



• Provisioning from the mobility controller

If provisioning from the mobility controller is enabled, then the controller must not remove the provisioning settings that ensures an access point operates in the FIPS approved mode of operation. These settings are:

- "Ignore controller firmware update requests";
- "Test cryptographic system (FIPS compliant operation)";
- "Controller authentication"; and
- Use of AES/CCMP for the local mesh link.

The page to enable provisioning from the HP MSM765zl Mobility Controller is "Provisioning" accessible from the "Controlled APs" tab.

| | h | MSM765 | | System name: \$G916GG00R | |
|--|---------|------------------------|--|---|-----------------|
| | | Home | | Logout | |
| | Network | | ntrolled APs Authentication Authentication Provisioning | Public access Users Management S AP limits Client data tunnel RTLS | Status Tools Ma |
| Summary ti ? <u>Controlled APs</u> <u>Configured</u> 1 | | Provisioning Provis | sioning options | Provisioning with controller-based provisioning | ? |
| Network Tree 12 ? Controller VSCs HP Controlled APs Default Group AP-1 | | | settings | - provisioning with controller-based provisioning | Save |



• Certificates

Only certificates with 2048-bit RSA public keys must be used.

| hn | MSM765 | | System name: SG | 916GG00R | |
|--|--|--|--|---------------|-----------|
| | Home | | | Logout | |
| | Networ Security VPN | Controlled APs Authentica | tion Public access Users Certificate usage MAC lockout | Management | Status To |
| Summary 甝 ? | Trusted CA certificate sto | pre | | | ? |
| | ID Issued to | | Cu rr ent usage | CRL | Delete |
| Controlled APs Configured 1 Network Tree 🏦 ? | 1 <u>SOAP API Certificate Autho</u> | rity | SOAP Server | No | Û |
| | 2 <u>Dummy Authority</u> | | RADIUS EAP | No | Û |
| | 3 <u>Entrust.net Secure Server (</u> | Certification Authority | Authorize.Net | No | Û |
| | 4 <u>Management Console Dum</u> | imy Authority | HP Management console | No | Û |
| Controller VSCs HP | PKCS #7 file or X.509 certi | ficate: | Br | owse) Install | |
| Controlled APs | Certificate and private ke | y store | | | |
| 🖸 Default Group | ID Issued to | Issued by | Current usage | | Delete |
| O AP-1 | 1 <u>wireless.hp.internal</u> | wireless.hp.internal | Web Management Tool, SOAI authentication, Billing records | | Û |
| | 2 <u>Dummy Server Certific</u> | <u>ate</u> Dummy Authority | RADIUS EAP | | Û |
| | 3 <u>Management Console</u> <u>client certificate</u> | <u>Default</u> Management Console Dummy Authority | HP Management console | | Û |
| | PKCS #12 file: | Browse | PKCS #12 password: | | Install |



• SOAP Configuration

If SOAP is to be used, the following must be done for the HP MSM765zl Mobility Controller:

- The "Secure HTTP (SSL/TLS)" checkbox must be selected;
- The "Require client certificate" checkbox must be selected;
- The "FIPS compliant operation" checkbox must be selected;
- "TLSv1" must be selected from the "SSL/TLS version:" dropdown.
- A trusted CA X.509 certificate, that will be used to validate the SOAP client certificate, must be installed; and
- The new page settings must be saved by clicking the "Save" button.

| In | MSM765 | S ys tem name: SG916GG00R |
|--|---|---|
| | Home | Logout |
| Summary | Network Security VPN Controlled APs Management tool Teaming Device discovery SOAP server configuration | Authentication Public access Users Management Status SNMP SOAP CLI Management console System time |
| Controlled APs Configured 1 | Server settings | Security ? Access to the SOAP interface is enabled for the addresses and interfaces that are specified below. ? |
| Controller VSCs HP Controlled APs Default Group AP-1 | ✓ Require client certificate ✓ FIPS compliant operation SSL/TLS version: TLS v1 ▼ ■ HTTP authentication Username: Password: Confirm password: | Allowed addresses: IP address: Mask: Add Add Remove Selected Entry |
| | TCP port: 448 Download the <u>SOAP API WDSL</u> file. | Active interfaces: LAN port VPN Internet port VLAN/GRE (Select from the list): |
| | | Save |



• L2TP Server

The L2TP server is not supported in the FIPS approved mode of operation and must not be configured.

| (hn | MSM765 | | S ys tem name: SG | 916GG00R |
|---|--|----------------------------------|--|-------------------|
| | Home | | | Logout |
| | Network Security VPN | | Public access Users PTP server PPTP client | Management Status |
| Summary <u>fu</u> ? <u>Controlled APs</u> <u>Configured</u> 1 | L2TP over IPSe Settings | ec configuration - LAN port ? | Address allocation | ? ? |
| Vetwork Tree 📁 ? Controller VSCs HP | X.509 certificat Preshared key Confirm presh | <u>I</u> | Address source: VPN a | ddress pool 🔻 |
| Controlled APs Default Group AP-1 | | | | Save |



• PPTP Server

The PPTP server must not be used in the FIPS approved mode of operation.

| hn | MSM765 | System name: \$G916GG00R |
|---|----------------------|---|
| Ŵ | Home | Logout |
| | Network Security VPN | Controlled APs Authentication Public access Users Management Status IPSec L2TP server PPTP server PPTP client |
| Summary 🛱 ? | PPTP server conf | iguration - LAN port ? |
| <u>Controlled APs</u> <u>Configured</u> 1 | | Address allocation |
| Network Tree 👘 ? | | Allocate addresses from: VPN address pool 🔻 |
| Controller VSCs HP | | Save |
| Controlled APs Default Group AP-1 | | \searrow |



• Automatic Firmware Install

Automatic firmware install must not be configured in the FIPS approved mode of operation.

| (; | Л | MSM765 | System name: ¥001-12136 | | |
|------------|---------|------------------|---|--------------|-------------|
| Y | 9 | Home | Logout | | |
| | Network | | ontrolled APs Authentication Public access Users Management management Firmware updates Registration Licenses System | Status Tools | Maintenance |
| 1.2 | | Firmware updates | | 20LM ? | |
| | | | | 1 | |
| <u>APs</u> | | | Install firmware | | |
| 2 ? | | | Install firmware directly to the MSM760 from your local hard drive or schedule regular uploads from a remote server. Current firmware version: mmercier_leith_2011/08 /31-13:30:27 Manual install Browse Install Day of week: Everyday Time of day: 00 : 00 <u>hb</u> mm URL: Validate Save Save and Install Now | | |
| | | | Ν | | |



The following HP documents may be of assistance in utilizing the HP MSM765zl Mobility Controller:

- HP 5400zl Switches Installation and Getting Started Guide;
- *HP 8200zl Switches Installation and Getting Started Guide*;
- *HP Switch Software Management and Configuration Guide*;
- HP MSM765zl Mobility Controller Installation and Getting Started Guide;
- HP MSM7xx Controllers Management and Configuration Guide; and
- Release notes that accompany any firmware update(s) installed.



4 SECURITY RULES DERIVED FROM THE REQUIREMENTS OF FIPS PUB 140-2

4.1 FINITE STATE MODEL

The finite state model for the HP MSM4xx AP is shown and described in the *HP MSM4xx Access Points Finite State Model* document.

4.2 ELECTROMAGNETIC INTERFERENCE / ELECTROMAGNETIC COMPATIBILITY (EMI/EMC)

The HP MSM4xx AP is a wireless LAN device providing 802.11 wireless signals. It is thus an intentional emitter.

The HP MSM4xx APs were tested as meeting FCC 47 CFR Part 15, Subpart B, Class B by the NVLAP-accredited Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch. The EMI/EMC testing is discussed in reports FD990716C01 and FD990622C09.



4.3 SELF-TESTS

4.3.1 Power-Up Self-Tests

The HP MSM4xx AP implements the following power-up self-tests that are initiated on the application of power to the access point:

- Firmware integrity test verifying an SHA-1 hash on all executables, shared libraries, and kernel loadable modules;
- Known answer test for the AES-using, FIPS-approved deterministic random number generator specified in *NIST-Recommended Random Number Generator Based on ANSI X9.31 Appendix A.2.4 Using the 3-Key Triple DES and AES Algorithms* in firmware;
- Encryption and decryption known answer tests on the firmware implementation of Triple DES;
- Encryption and decryption known answer tests, with 128 bit keys, on the firmware implementation of AES;
- PKCS#1 v1.5 RSA tested with 1024 with signature generation and verification known answer tests in firmware;
- Known answer test on user mode implementation of SHA-1 in firmware;
- Known answer test on user mode implementation of HMAC-SHA-1 in firmware; and
- Generation-encryption and decryption-verification known answer tests on the hardware implementation of AES CCM.

These tests can be executed on demand by rebooting the access point.



4.3.2 Conditional Self-Tests

The HP MSM4xx AP implements the following conditional self-tests:

- Pair-wise consistency tests on generated RSA key pairs;
- Cryptographic bypass test on 802.11i policies (verification of the HMAC-SHA-1 message authentication code over the table when a policy is to be added, modified, or deleted); and
- Continuous random number generator tests on the FIPS-approved ANSI X9.31 with AES deterministic random number generator and on /dev/urandom, which provides random data for the seed key and seed for the FIPS-approved PRNG.

The HP MSM4xx AP does not support manual key entry. The two independent actions for bypass are the configuration of an open wireless network security policy for the wireless network and the verification of the configuration table when a change is made.

If a conditional self-test passes, the associated service will be provided. If a conditional self-test fails, the access point will reboot.



4.4 DESIGN ASSURANCE

4.4.1 Delivery and Operation

HP tracks each shipment and is able to provide confirmation to the customer that a FIPS-validated HP MSM4xx AP has been received. The *HP MSM4xx Access Point Quickstart* and the *HP MSM 3xx/4xx Access Point Management and Configuration Guide* describe how the user can validate the receipt of a FIPS 140-2 validated HP MSM4xx AP.

The FIPS-compliant firmware is available for download from the HP website. There is controlled access to this firmware and the firmware is encrypted with AES.

4.4.2 Functional Specification

The functional specification for the HP MSM4xx AP is contained in the *Functional Specification for the HP MSM4xx Access Points* document.

4.4.3 Guidance Documents

Crypto-Officer and User guidance for the HP MSM4xx AP is provided in this document and in the HP MSM4xx Access Point Quickstart, the HP MSM 3xx/4xx Access Point Management and Configuration Guide, and in the HP MSM7xx Controllers Management and Configuration Guide.



5 ADDITIONAL SECURITY RULES

- 1. Public key certificates must only be imported if the key length of the RSA public key is equal to or greater than 2048 bits. The requirement is not enforced by the HP MSM4xx AP.
- 2. The EAP-TTLS protocol is currently not to be used in the FIPS approved mode of operation since it has not been assessed by the validation authorities. EAP-TLS and PEAP-TLS are allowed in the FIPS approved mode of operation.



6 IDENTIFICATION AND AUTHENTICATION POLICY

The identification and authentication policy includes specification of all roles, the associated type of authentication, the authentication data required of each role or operator, and the corresponding strength of the authentication mechanism.

When the HP MSM4xx AP is in the FIPS approved mode of operation, it is managed by an Administrator through the HP MSM765zl Mobility Controller. The controller is authenticated to both the Crypto-Officer role and the User role when it is authenticated to the HP MSM4xx AP.

| Role | Type of Authentication | Authentication Data |
|----------------|------------------------|----------------------------------|
| Crypto-Officer | Role-Based | Shared Secret for the Controller |
| User | Role-Based | Shared Secret for the Controller |

There are no authorized physical maintenance activities for the HP MSM4xx AP, and thus the access point does not support a Maintenance role.

| Authentication Mechanism | Strength of Mechanism |
|----------------------------------|--|
| Shared Secret for the Controller | Minimum of 8 printable ASCII characters (82 different |
| (used as key in HMAC-SHA-1 | characters); probability of guessing shared secret: 1 in |
| message authentication code | 2.04×10^{15} |
| provided to access point) | Maximum of 20 characters per shared secret |

 Table 4 – Strengths of Authentication Mechanisms

The controller authentication occurs over the Ethernet and could be automated. The processor speed for the HP MSM4xx AP is 800 MHz. Also note that the Shared Secret for the Controller is used in an HMAC computation and thus the access point would have to compute an HMAC from its copy of the Shared Secret for the Controller. The maximum number of instructions that the processor can execute in a minute is 4.8×10^{10} , so to have an authentication strength of less than 1 in 100,000 or 1×10^{5} , the receipt and processing of the shared secret would need to take less than one instruction. It is of course takes more than that so the required strength of authentication in a one minute period is met. The receipt of the HMAC computed using the Shared Secret for the Controller, the computation of the HMAC from the copy of the shared secret that the access point has, and the comparison of the computed HMAC with the received HMAC, along with the other processing needed for the authentication, takes more than this number of single instructions.



7 ACCESS CONTROL POLICY

7.1 OVERVIEW

Section 7 Access Control Policy discusses the access that operator X, performing service Y while in role Z, has to security-relevant data item W for every role, service, and security-relevant data item contained in the cryptographic module.

The specification is of sufficient detail to identify the cryptographic keys and other CSPs that the operator has access to while performing a service, and the type(s) of access the operator has to the parameters.

7.2 CRYPTOGRAPHIC MODULE SERVICES

The non-FIPS approved services provided by the HP MSM4xx AP – only in the non-FIPS approved mode of operation – are the following:

- Management through an SSL session, or SOAP with SSL, which could make use of a cryptographic algorithm such as Blowfish, MD5, SHA-224, SHA-256, SHA-384, SHA-512, HMAC-MD5, HMAC-SHA-224, HMAC-SHA-256, HMAC-SHA-384, or HMAC-SHA-512. The SHA cryptographic algorithms and HMAC cryptographic algorithms are not used, and cannot be used, in the FIPS approved mode of operation, even though they are FIPS-approved algorithms, because not all the requirements of FIPS 140-2 are met for these cryptographic algorithms;
- Authentication of user traffic through a UDP tunnel using HMAC-MD5; and
- Firmware updates².

The following sections discuss the FIPS approved services provided by the HP MSM4xx AP in the FIPS-approved mode of operation.

² This service is only available during pre-operational initialization and in the non-FIPS-approved mode of operation. Firmware updates are inhibited in the FIPS-approved mode of operation. If a firmware load is done at any time after the access point is configured for the FIPS approved operation, the access point can no longer be FIPS 140-2 compliant unless returned for repair.



7.2.1 Show Status

| Purpose: | Provide an indication that the cryptographic module is operating |
|---------------------|---|
| | correctly |
| Approved Functions: | AES, Triple DES, SHA-1, RSA, HMAC-SHA-1, CCM, PRNG |
| Service Inputs: | Power-On |
| Service Outputs: | LED Array or Status Indicators to HP MSM765zl Mobility Controller |

Status lights indicate the operational status of the HP MSM4xx AP.

The web browser-based management tool on the HP MSM765zl Mobility Controller provides information on the operational status of the HP MSM4xx AP.

7.2.2 Perform Power-Up Self-Tests

| Purpose: | Verify that the HP MSM4xx AP is operating correctly |
|----------------------------|---|
| Approved Functions: | AES, Triple DES, SHA-1, RSA, HMAC-SHA-1, CCM, PRNG |
| Service Inputs: | Power-On |
| Service Outputs: | LED Array |

The success of the power-up self-tests is indicated by the first three LEDs blinking sequentially, the LED pattern that indicates the AP has received an IP address and is looking for a controller.

7.2.3 Perform EAPOL Communication

| Purpose: | EAP Authentication of Stations |
|---------------------|-------------------------------------|
| Approved Functions: | |
| Service Inputs: | Authentication Request from Station |
| Service Outputs: | EAP Authentication Packet |

7.2.4 Perform WPA2 Secure Wireless Communication

| Purpose: | Transfer data securely on wireless network using AES CCMP |
|---------------------|---|
| Approved Functions: | AES CCM, HMAC-SHA-1, AES key wrap |
| Service Inputs: | MPDU to be Encrypted or Decrypted |
| Service Outputs: | Processed MPDU |



7.2.5 Perform Plaintext Wireless Communication

| Purpose: | Transfer plaintext data on wireless network; bypass service |
|----------------------------|---|
| Approved Functions: | HMAC-SHA-1 |
| Service Inputs: | MPDU |
| Service Outputs: | Unprocessed MPDU |

7.2.6 Management through TLS Session

| Purpose: | Configuration of HP MSM4xx AP through HP MSM765zl Mobility |
|---------------------|---|
| | Controller |
| Approved Functions: | RSA Key Generation and Signature Verification, Diffie-Hellman Key |
| Agreement, AES in C | CBC mode, Triple DES in CBC mode |
| Non-Approved | |
| Function: | MD5 (used in the derivation of the master key) |
| Service Inputs: | Configuration Information from Controller, PMK |
| Service Outputs: | Indicator of Success or Failure of Operation |

7.2.7 Plaintext Key and CSP Zeroization

| Purpose: | Zeroize Plaintext Cryptographic Keys and Other CSPs |
|--------------------|--|
| Approved Function: | Zeroization |
| Service Inputs: | Request to Reset to Factory Defaults through Controller Command or |
| | Press of Reset Button (non-FIPS approved mode of operation) |
| Service Outputs: | Factory Defaults Reset |
| Service Outputs: | Factory Defaults Reset |



7.3 ROLES, SERVICES AND ACCESSES

7.3.1 Anonymous Services

The following services are provided to operators without requiring them to assume an authorized role.

| Service | Description | Security Considerations |
|------------------|--|------------------------------------|
| Perform Power-Up | The initial power-up self-tests of the | The initial power-up self-tests do |
| Self-Tests | HP MSM4xx AP do not require the | not use operational keys or other |
| | operator to assume a role. It only | CSPs and therefore do not affect |
| | requires the provision of power. | the security of the cryptographic |
| | | module. |

Table 5 – Anonymous Services

7.3.2 Role-Based Services

This section discusses, for each role, the services an operator is authorized to perform within that role.

| Role | Authorized Services |
|---|---|
| User (Configuration of Wireless | Perform EAPOL Communication |
| Communication through HP MSM765zl | Perform WPA2 Secure Wireless Communication |
| Mobility Controller) | Perform Plaintext Wireless Communication |
| | Show Status |
| | |
| Crypto-Officer (Management of HP MSM4xx | Management through TLS Session |
| AP through HP MSM765zl Mobility | Show Status |
| Controller) | Plaintext Key and CSP Zeroization (Command) |
| | Perform Power-Up Self-Tests (Command) |

| Table 6 - | Services | Authorized | for Roles |
|-----------|----------|------------|-----------|
|-----------|----------|------------|-----------|



7.4 SECURITY DATA

7.4.1 General

Security data comprises all cryptographic keys and other CSPs employed by the cryptographic module, including secret, private, and public cryptographic keys (both plaintext and encrypted), authentication data such as passwords or PINs, and other security-relevant information (e.g., audited events and audit data).

7.4.2 Cryptographic Keys

AES Secret Keys Triple DES Secret Keys HMAC Secret Keys RSA Public and Private Keys PRNG Seed Key Diffie-Hellman Public and Private Keys

RSA public keys in X.509 certificates are stored by the HP MSM4xx AP.

RSA public keys and Diffie-Hellman public keys are not considered critical security parameters.

7.4.3 Critical Security Parameters

Shared Secret for the Controller PRNG Seed



7.4.4 Cryptographic Key Management

| Cryptographic | Key | Key | FIPS Approved | State within Module |
|-----------------------|-------------|------------------------------|---------------------------------|---------------------|
| Keys and CSPs | Length | Strength | Establishment | |
| negs und cors | Length | Strength | Mechanism | |
| | | | | |
| | | | | |
| Local X.509 | 2048 bits | 112 bits | Internally-generated | Plaintext in NAND |
| Certificate RSA | | | with ANSI X9.31 RSA | Flash |
| Public Key | | | Key Generation; | |
| | | | EE/ED to controller | |
| Local RSA Private | 2048 bits | 112 bits | Internally-generated | Plaintext in NAND |
| Key (mate of Local | | | with ANSI X9.31 RSA | Flash |
| X.509 Certificate | | | Key Generation | |
| RSA Public Key) | | | | |
| Web Server X.509 | 2048 bits | 112 bits | Externally generated; | Plaintext in NAND |
| Certificate RSA | | | part of new firmware | Flash |
| Public Key | 1004 | 00 0611 | T , 11 , 1 | |
| Diffie-Hellman | 1024 or | 80 or 96 bits | Internally-generated | Ephemeral in SDRAM |
| Private Keys | 1536 bits | 00 061 | with ANSI X9.31 PRNG | |
| Diffie-Hellman Public | 1024 or | 80 or 96 bits | Internally-generated | Ephemeral in SDRAM |
| Keys | 1536 bits | | with ANSI X9.31 | |
| | | | PRNG; EE/ED to and from | |
| | | | controller | |
| TLS Session Keys | 168-bit | 112 bits for 168- | EE/ED; | Ephemeral in SDRAM |
| TES Session Reys | Triple DES | bit Triple DES | RSA public key | |
| | key or 128 | key; | encrypted from | |
| | or 256 bit | 128 or 256 bit | controller or agreed | |
| | AES key | for AES key | upon using Diffie- | |
| | - 5 | | Hellman key agreement | |
| Shared Secret for the | Minimum | 1 in 2.04 X 10 ¹⁵ | EE/ED; | Plaintext in NAND |
| Controller | 8 printable | | Encrypted with TLS | Flash |
| | ASCII | | Session Key, initial | |
| | characters | | entry; | |
| | | | Used as HMAC key for | |
| | | | HMAC computation | |
| | | | when used for | |
| | | | authentication | |



| PSK | 256 bits | 256 bits | EE/ED; | Plaintext in NAND |
|--------------------|----------|----------|---------------------------|--------------------|
| | 2000000 | 200 0100 | Encrypted with TLS | Flash |
| | | | Session Key; from | |
| | | | controller | |
| РМК | 256 bits | 256 bits | EE/ED; | Plaintext in NAND |
| | 250 0113 | 250 0105 | Encrypted with TLS | Flash |
| | | | Session Key; from | i iubii |
| | | | controller | |
| HMAC Keys | 160 bits | 160 bits | Used in PRF; | Plaintext in NAND |
| murke Keys | 100 0103 | 100 0103 | Generated with FIPS- | Flash |
| | | | approved PRNG | 1 1851 |
| КСК | 128 bits | 128 bits | Derived from PSK or | Plaintext in NAND |
| KCK | 120 0115 | 120 0118 | | Flash |
| VEV | 100 hita | 100 h:40 | PMK using PRF | Plaintext in NAND |
| KEK | 128 bits | 128 bits | Derived from PSK or | |
| (AES Key) | 120.1.1 | 1001:4 | PMK using PRF | Flash |
| AES CCMP | 128 bits | 128 bits | Derived from PSK or | Plaintext in NAND |
| Temporal Keys | | | PMK using PRF; | Flash |
| | | | EE/ED; | |
| | | | Output encrypted with | |
| | | | KEK to stations | |
| Link Mesh Master | 128 bits | 128 bits | EE/ED; | Plaintext in NAND |
| Key | | | Encrypted with TLS | Flash |
| | | | Session Key; | |
| | | | from controller | |
| Link Mesh Temporal | 128 bits | 128 bits | Derived from Link Mesh | Plaintext in NAND |
| Key | | | Master Key using PRF; | Flash |
| | | | EE/ED; | |
| | | | Output encrypted with | |
| | | | KEK to stations | |
| Group Master Key | 128 bits | 128 bits | EE/ED; | Plaintext in NAND |
| | | | Encrypted with TLS | Flash |
| | | | Session Key; | |
| | | | from controller | |
| Group Temporal Key | 128 bits | 128 bits | Derived from Group | Plaintext in NAND |
| | | | Master Key using PRF; | Flash |
| | | | EE/ED; | |
| | | | Output encrypted with | |
| | | | KEK to stations | |
| PRNG Seed Key | 256 bits | 256 bits | Internally generated with | Ephemeral in SDRAM |
| (AES Key) | | | /dev/urandom PRNG | 1 |



| PRNG Seed | 128 bits | 128 bits | Internally generated with | Ephemeral in SDRAM |
|-----------|----------|----------|---------------------------|--------------------|
| | | | /dev/urandom PRNG | |

Table 7 – Cryptographic Keys and Other Critical Security Parameters Table

Table 8 specifies the random number generators employed by the HP MSM4xx AP.

| Identification | Туре | Usage |
|--------------------------------|--------------|------------------------------------|
| ANSI X9.31 Appendix A.2.4 | Approved | Used when random data is needed |
| Using the 3-Key Triple DES and | | when generating an RSA key pair or |
| AES PRNG using AES with | | a Diffie-Hellman key pair |
| 256-bit keys | | |
| /dev/urandom | Not Approved | Generation of seed keys and seed |
| PRNG | | values for approved PRNG |

Table 8 – HP MSM4xx AP Pseudo-Random Number Generators

Table 9 specifies, for those keys that are generated automatically, whether or not they are output, and, if so, the format in which they are output and their destination.

| Identification | Output | Destination | Format |
|-----------------------|--------|---------------------|----------------|
| Local X.509 | Yes | To HP MSM765zl | Plaintext |
| Certificate RSA | | Mobility Controller | |
| Public Key | | | |
| Local RSA Private | No | Not Applicable | Not Applicable |
| Key (mate of Local | | | |
| X.509 Certificate RSA | | | |
| Public Key) | | | |
| Web Server X.509 | No | Not Applicable | Not Applicable |
| Certificate RSA | | | |
| Public Key | | | |
| Diffie-Hellman | No | Not Applicable | Not Applicable |
| Private Key | | | |
| Diffie-Hellman Public | Yes | To HP MSM765zl | Plaintext |
| Keys | | Mobility Controller | |
| TLS Session Keys | No | Not Applicable | Not Applicable |
| PSK | No | Not Applicable | Not Applicable |
| РМК | No | Not Applicable | Not Applicable |
| HMAC Keys | No | Not Applicable | Not Applicable |
| КСК | No | Not Applicable | Not Applicable |



| KEK | No | Not Applicable | Not Applicable |
|--------------------|-----|----------------------|----------------|
| (AES Key) | | | |
| AES CCMP Temporal | Yes | To Wireless Stations | Encrypted with |
| Keys | | | KEK |
| Link Mesh Master | No | Not Applicable | Not Applicable |
| Key | | | |
| Link Mesh Temporal | Yes | To Wireless Stations | Encrypted with |
| Key | | | KEK |
| Group Master Key | No | Not Applicable | Not Applicable |
| Group Temporal Key | Yes | To Wireless Stations | Encrypted with |
| | | | KEK |
| PRNG Seed Key | No | Not Applicable | Not Applicable |
| (AES Key) | | | |
| PRNG Seed | No | Not Applicable | Not Applicable |

Table 9 – HP MSM4xx AP Key Output

Table 10 specifies the access to cryptographic keys and other CSPs that an operator has to each of the cryptographic keys and other CSPs for all services.

| Service | Cryptographic Keys and Other CSPs | Type(s) of Access (Read (R), Write (W), Execute (E)) |
|---------------------------------|--|--|
| Show Status | Shared Secret for the Controller | Е |
| | TLS Session Keys | Е |
| Perform Power-Up Self- Tests | AES, Triple DES, RSA, HMAC, PRNG Seed, PRNG Seed Key (Power-Up Self-Test Only Keys – not CSPs) | Е |
| | Shared Secret for the Controller (for command) | E |
| | TLS Session Key (for command) | E |
| Perform EAPOL Communication | None | |



| Perform WPA2 Secure | Shared Secret for the Controller | Е |
|----------------------------|---|------|
| Wireless Communication | | L |
| | TLS Session Key | Е |
| | PSK | W, E |
| | РМК | W, E |
| | HMAC Keys | W, E |
| | КСК | W, E |
| | KEK | W, E |
| | AES CCMP Temporal Keys | W, E |
| | Link Mesh Master Key | W, E |
| | Link Mesh Temporal Key | W, E |
| | Group Master Key | W, E |
| | Group Temporal Key | W, E |
| | PRNG Seed | W, E |
| | PRNG Seed Key | W, E |
| Perform Plaintext Wireless | Shared Secret for the Controller | Е |
| Communication | | |
| | TLS Session Key | E |
| | HMAC Key | E |
| Management through TLS | Shared Secret for the Controller | E |
| Session | | |
| | Local RSA Private Key | W, E |
| | Local X.509 Certificate RSA Public Key | W |
| | (not a CSP) | |
| | Web Server X.509 Certificate RSA Public | R, W |
| | Key (not a CSP) | |
| | Diffie-Hellman Private Keys | W, E |
| | Diffie-Hellman Public Keys (not CSPs) | W, E |
| | TLS Session Keys | W, E |
| | PRNG Seed | W, E |
| | PRNG Seed Key | W, E |



| Plaintext Key and CSP | Shared Secret for the Controller | E, W |
|-----------------------|--|------|
| Zeroization | | |
| | Local X.509 Certificate RSA Public Key | E, W |
| | (not a CSP) | |
| | RSA Private Key | E, W |
| | TLS Session Keys | E, W |
| | Diffie-Hellman Public Keys (not CSPs) | W |
| | Diffie-Hellman Private Keys | W |
| | PSK | W |
| | РМК | W |
| | HMAC Keys | W |
| | КСК | W |
| | КЕК | W |
| | AES CCMP Temporal Keys | W |
| | Link Mesh Master Key | W |
| | Link Mesh Temporal Key | W |
| | Group Master Key | W |
| | Group Temporal Key | W |
| | PRNG Seed | E, W |
| | PRNG Seed Key | E, W |

Table 10 – Access Rights within Services



7.5 IMPLEMENTED CRYPTOGRAPHIC ALGORITHMS

The following table outlines the FIPS approved cryptographic algorithms that are implemented in the HP MSM4xx AP, along with the Cryptographic Algorithm Validation Program (CAVP) validation number for each algorithm.

| FIPS Approved Cryptographic Algorithm | Algorithm Validation Number(s) |
|---|--------------------------------------|
| AES (128 or 256 bit keys) CBC encryption in | 1823 |
| firmware | |
| AES CCM (128 bit keys) generation-encryption and | 1840 |
| decryption-verification in hardware | |
| [*] Triple DES (168-bit keys) encryption and | 1176 |
| decryption in CBC mode in firmware | |
| *SHA-1 hashing (firmware) | 1602 |
| HMAC-SHA-1 message authentication (firmware) | 1078 |
| *RSA (2048 bit keys) PKCS#1 v1.5 signature | 916 |
| verification and ANSI X9.31 key generation in | |
| firmware | |
| *ANSI X9.31 PRNG using 256-bit AES key | 960 |

* For deprecation information, see NIST SP800-131A.

Table 11 – Implemented FIPS Approved Cryptographic Algorithms

The HP MSM4xx AP implements the following non-FIPS approved cryptographic algorithms: Blowfish, MD5, HMAC-MD5, Diffie-Hellman key agreement for TLS with 1024 bit (Group 2) or 1536 bit (Group 5) keys (key establishment methodology provides 80 or 96 bits of equivalent encryption strength), RSA key wrapping for TLS with 1024 and 2048 bit keys (key transport method provides 80 or 112 bits of equivalent key strength), and AES key wrapping for 802.11i handshake with 128-bit AES keys (key establishment methodology provides 128 bits of encryption strength).

The HP MSM4xx APs also implements SHA-224, SHA-256, SHA-384, SHA-512, HMAC-SHA-224, HMAC-SHA-256, HMAC-SHA-384, and HMAC-SHA-512, which are not FIPS compliant because all cryptographic module requirements for these cryptographic algorithms have not been met.



8 PHYSICAL SECURITY POLICY

8.1 OVERVIEW

Section 8 Physical Security Policy discusses the physical security mechanisms that are implemented to protect the HP MSM4xx AP from unauthorized physical access and the actions that are required to ensure that the physical security of the module is maintained.

8.2 PHYSICAL SECURITY MECHANISMS

8.2.1 Tamper Evident Seals

The HP MSM4xx AP is completely enclosed within a hard plastic with a metal bottom, production-grade enclosure.

The HP MSM4xx AP is protected from opening by tamper evident seals on the front and back of the enclosure. A third tamper evident seal is affixed over the reset button to prevent the access point to be put in an open, non-FIPS approved state. **Figure 3** shows the locations of the three affixed seals.

Before being used, tamper evident seals provided by HP should be kept in a locked cabinet, accessible only by the HP MSM4xx AP Administrator (Crypto-Officer). The HP MSM4xx AP should be kept in a locked cabinet until the tamper evident seals are affixed.

8.3 INSPECTION AND TESTING

| Physical Security Mechanism | Recommended Frequency of | Inspection/Test Guidance Details |
|--------------------------------|-----------------------------|--|
| | Inspection/Test | |
| Tamper Evident | Weekly preferred but at | Examine visually for evidence that any |
| Seals | least monthly | seal has been damaged, broken, or |
| | | missing |

Table 12 – Inspection/Testing of Physical Security Mechanisms

The inspection of the tamper evident seals is to be done by the Administrator (Crypto-Officer).



9 SECURITY POLICY FOR MITIGATION OF OTHER ATTACKS

9.1 OVERVIEW

The HP MSM4xx AP does not mitigate against specific attacks for which testable requirements are not defined in FIPS 140-2.

9.2 MECHANISMS IMPLEMENTED

Not applicable

9.3 MITIGATION SUMMARY

| Other Attacks | Mitigation Mechanisms | Specific Limitations |
|---------------|-----------------------|----------------------|
| None | N/A | N/A |

Table 13 – Mitigation of Other Attacks