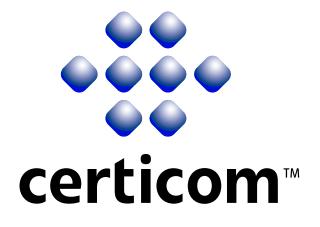
# Security Builder® FIPS Java Module

Version 2.2

FIPS 140-2 Non-Proprietary Security Policy

Certicom Corp.

September 15, 2008



Copyright © 2005-2008 Certicom Corp.

This document may be freely reproduced and distributed whole and intact including this Copyright Notice.

"Security Builder" is a registered trademark of Certicom Corp.

Certicom Corp. has intellectual property rights relating to technology embodied in the product that is described in this document. In particular, and without limitation, these intellectual property rights may include one or more of the U.S. and non-U.S. patents listed at http://www.certicom.com/patents and one or more additional patents or pending patent applications in the U.S. and in other countries. Information subject to change.

# Contents

1	Introduction	5				
	1.1 Overview	5				
	1.2 Purpose	5				
	1.3 References	5				
	1.4 Change Notes	7				
2	Cryptographic Module Specification					
	2.1 Physical Specifications	10				
	2.2 Computer Hardware, OS, and JVM	12				
	2.3 Software Specifications	12				
3	Cryptographic Module Ports and Interfaces	14				
4	Roles, Services, and Authentication	15				
	4.1 Roles	15				
	4.2 Services	16				
	4.3 Operator Authentication	17				
5	Finite State Model	18				
6	Physical Security 1					
7	Operational Environment	20				
8	Cryptographic Key Management	21				
	8.1 Key Generation	21				
	8.2 Key Establishment	21				
	8.3 Key Entry and Output	21				
	8.4 Key Storage	22				
	8.5 Zeroization of Keys	22				
9	Self-Tests	23				
	9.1 Power-up Tests	23				
	9.1.1 Tests upon Power-up	23				
	9.1.2 On-Demand Self-Tests	23				
	9.2 Conditional Tests	23				
	9.3 Failure of Self-Tests	23				
10	Design Assurance	24				
	10.1 Configuration Management	24				
	10.2 Delivery and Operation	24				
	10.3 Development	24				
	10.4 Guidance Documents	24				

11 M	this of the state
	itigation of Other Attacks
11	.1 Timing Attack on RSA
11	.2 Attack on Biased Private Key of DSA
A C	ypto Officer And User Guide
A.	1 Installation
	A.1.1 Installing
	A.1.2 Uninstalling
A.	2 Commands
	A.2.1 Initialization
	A.2.2 Deinitialization
	A.2.3 Self-Tests
	A.2.4 Show Status
A.	3 When Module is Disabled

# **1** Introduction

### 1.1 Overview

This is a non-proprietary Federal Information Processing Standard (FIPS) 140-2 Security Policy for Certicom's **Security Builder** FIPS Java Module Version 2.2 (SB FIPS Java Module). SB FIPS Java Module is a cryptographic toolkit for Java language users, providing services of various cryptographic algorithms such as hash algorithms, encryption schemes, message authentication, and public key cryptography. This Security Policy specifies the rules under which SB FIPS Java Module must operate. These security rules are derived from the requirements of FIPS 140-2 [1], and related documents [6, 7, 8].

## 1.2 Purpose

This Security Policy is created for the following purposes:

- 1. It is required for FIPS 140-2 validation.
- To outline SB FIPS Java Module's conformance to FIPS 140-2 Level 1 Security Requirements.
- 3. To provide users with how to configure and operate the cryptographic module in order to comply with FIPS 140-2.

### 1.3 References

### References

- [1] NIST Security Requirements For Cryptographic Modules, December 3, 2002.
- [2] NIST Security Requirements For Cryptographic Modules, Annex A: Approved Security Functions for FIPS PUB 140-2, December 18, 2007.
- [3] NIST Security Requirements For Cryptographic Modules, Annex B: Approved Protection Profiles for FIPS PUB 140-2, June 14, 2007.
- [4] NIST Security Requirements For Cryptographic Modules, Annex C: Approved Random Number Generators for FIPS PUB 140-2, October 18, 2007.
- [5] NIST Security Requirements For Cryptographic Modules, Annex D: Approved Key Establishment Techniques for FIPS PUB 140-2, January 16, 2008.
- [6] NIST Derived Test Requirements for FIPS 140-2, Draft, March 24, 2004.
- [7] NIST Implementation Guidance for FIPS PUB 140-2 and the Cryptographic Module Validation Program, May 22, 2008.
- [8] NIST Frequently Asked Questions for the Cryptographic Module Validation Program, December 4, 2007.

[9] NIST Recommendation for Random Number Generation Using Deterministic Random Bit Generators (Revised), March, 2008.

# 1.4 Change Notes

The following are placed here by RCS upon check-in.

\$Log: SBFIPSJModule2SecurityPolicy.tex,v \$ Revision 1.46 2008/09/15 14:00:42 ayamada Revised based on the comments from CMVP. Revision 1.45 2008/06/16 18:00:39 ayamada 1. Algorithm Certificate numbers are provided. 2. Updated references. 3. Editorial correction. Revision 1.44 2008/05/09 19:45:52 rwilliam Clarified runSelfTests Revision 1.43 2008/05/09 15:29:34 ayamada Correction on the date. Revision 1.42 2008/05/09 15:29:05 ayamada Fixed the description to correctly represent the behavior in Java. Revision 1.41 2008/04/18 17:04:55 ayamada Corrections on the supported algorithms. Editorial corrections. Revision 1.40 2008/04/18 13:28:40 ayamada For SB FIPS Jave Module 2.2. 1. Updated the list of tested platforms. 2. The tested JRE version is now 1.6. 3. Added new algorithms: AES CMAC, AES GCM, DRBG. 4. Clarification on the operational environment. Revision 1.39 2008/04/15 12:35:28 ayamada Fixed a typo. Revision 1.38 2008/04/11 22:09:50 ayamada For Version 2.2. New platform list and JRE list. Includes new algorithms such as NIST SP 800-90 RNG. Revision 1.37 2008/03/14 17:50:42 ayamada The first draft for SB FIPS Java Module 2.2. Revision 1.36 2008/01/24 15:25:36 ayamada Updated from the 2.1 branch. Revision 1.35.6.1 2007/08/29 19:04:22 ayamada Updated to add Solaris 10 32-bit. Revision 1.35 2006/12/08 14:17:26 ayamada Date update. Revision 1.34 2006/12/08 14:15:55 ayamada Correction on the DSS certificate number. Revision 1.33 2006/12/07 19:46:09 ayamada Updated the date on the document. Revision 1.32 2006/12/07 19:44:24 ayamada Added FIPS Algorithm certificate numbers. Revision 1.31 2006/11/03 17:45:58 ayamada Added extra space on page 2. Revision 1.30 2006/11/03 17:39:02 ayamada Added patent statement.

Revision 1.29 2006/11/03 15:07:49 ayamada Addition of some clarifications and reference update. Revision 1.28 2006/11/02 19:58:52 ayamada Simplified operational environment description. Revision 1.27 2006/10/13 14:22:49 ayamada Improved to have clearer statements. Revision 1.26 2006/09/14 14:04:22 ayamada Revised to add 2 platforms. Windows OS is updated to XP. Revision 1.25 2006/06/28 14:09:02 ayamada A bit more edirotial improvements. Revision 1.24 2006/06/28 14:01:32 ayamada Some editorial fixes. Revision 1.23 2006/06/27 20:15:40 mmezheri updated supported hardware, software diagram and algorithms table Revision 1.22 2006/06/26 15:20:06 mmezheri updated regarding gse-j 2.1 Revision 1.21 2006/06/05 21:52:41 mmezheri new algorithms updating Revision 1.20 2005/12/14 17:02:48 zlieber Merged sbgsej\_2\_0 branch: -j root-of-sbgsej\_2\_0-branch -j sbgsej\_2\_0\_9 Revision 1.11.2.2 2005/09/27 18:10:34 ayamada Further revised the notes on the security of key establishment techniques. Revision 1.19 2005/09/27 13:42:29 ayamada Added notes on security levels of DH, ECDH, and ECMQV. Revision 1.18 2005/09/21 20:01:27 ayamada Added clarification on key size for the RSA key wrapping techniques. Revision 1.17 2005/09/14 18:47:47 ayamada Further clarified the status of DES in Table 3. Revision 1.16 2005/09/14 17:20:11 ayamada 1. Fix on Table 3 to clarify the legacy status of DES. 2. FIPS Approved is corrected to FIPS allowed for key establishment techniques. Revision 1.15 2005/04/22 13:19:47 ayamada Further clarifications. Revision 1.14 2005/03/31 17:46:50 ayamada Editorial corrections. Revision 1.13 2005/03/28 15:26:50 ayamada Correction on the date. Revision 1.12 2005/03/28 15:21:17 avamada The algorithm certificate numbers are obtained. Some minor editorial corrections. Revision 1.11 2005/02/18 18:53:36 ayamada A few minor corrections. Revision 1.10 2005/02/18 16:04:20 ayamada More clarifications and editorial corrections. Revision 1.9 2005/02/15 17:10:11 efung

#### Туро

Revision 1.8 2005/02/11 21:16:22 efung Superscript the (R)

Revision 1.7 2005/02/10 23:00:19 efung supertab isn't actually being used (package seems to be superseded by supertabular)

Revision 1.6 2005/02/10 19:08:35 ayamada Further corrections.

Revision 1.5 2005/02/10 18:34:20 ayamada Editorial corrections.

Revision 1.4 2005/02/04 18:35:07 ayamada Completed the appendix.

Revision 1.3 2005/02/01 15:56:28 ayamada Editorial corrections.

Revision 1.2 2005/01/28 14:54:00 ayamada Revised for differences of Java from C.

Revision 1.1 2005/01/28 13:48:21 ayamada Initial revision: copied from GSE-C 2.0.

# 2 Cryptographic Module Specification

SB FIPS Java Module is a multiple-chip standalone cryptographic module that operates with the following components:

- A commercially available general-purpose computer hardware.
- A commercially available Operating System (OS) that runs on the computer hardware.
- A commercially available Java Virtual Machine (JVM) that runs on the computer hardware and OS.

### 2.1 Physical Specifications

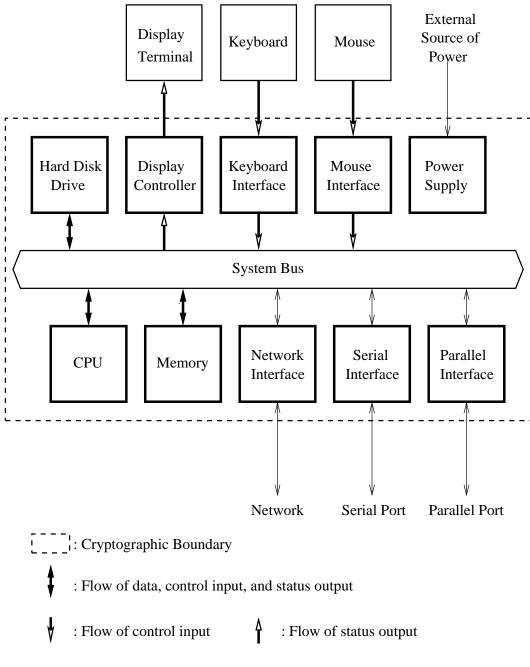
The general-computer hardware component consists of the following devices:

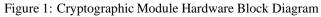
- 1. CPU (Microprocessor)
- 2. Memory
  - (a) Working memory is located on the RAM containing the following spaces:
    - i. Input/output buffer
    - ii. Plaintext/ciphertext buffer
    - iii. Control buffer

Key storage is not deployed in this module.

- (b) Program memory is also located on RAM.
- 3. Hard Disk (or disks)
- 4. Display Controller
- 5. Keyboard Interface
- 6. Mouse Interface
- 7. Network Interface
- 8. Serial Port
- 9. Parallel Port
- 10. Power Supply

The configuration of this component is illustrated in Figure 1.





### 2.2 Computer Hardware, OS, and JVM

SB FIPS Java Module is tested on the following representative combinations of computer hardware and OS:

- 1. Solaris 10, 32-bit SPARC (Binary compatible to Solaris 9)
- 2. Solaris 10, 64-bit SPARC (Binary compatible to Solaris 9)
- 3. Red Hat Linux AS 5.0, 32-bit x86 (Binary compatible to AS 2.1/3.0/4.0)
- 4. Red Hat Linux AS 5.0, 64-bit x86 (Binary compatible to AS 4.0)
- 5. Windows Vista, 32-bit x86 (Binary compatible to Windows 98/2000/2003/XP)
- 6. Windows Vista, 64-bit x86 (Binary compatible to Windows 64-bit XP)
- 7. Windows 2008 Server, 64-bit x86

The Java Runtime Environment (JRE) 1.6.0 by Sun Microsystems is used to test SB FIPS Java Module on the platforms above.

The module will run on the JREs 1.3.1, 1.4.2, and 1.5.0, and on various hardware and OS such as,

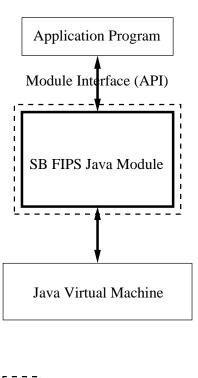
- 8. Any other Solaris Platforms,
- 9. Any other Linux Platforms,
- 10. Any other Windows Platforms,
- 11. AIX Platforms, and
- 12. HP-UX Platforms,

without re-compilation, while maintaining its compliance to the FIPS 140-2 Level 1 requirements. Thus, the validation status is maintained on such platforms.

### 2.3 Software Specifications

SB FIPS Java Module software is manufactured by Certicom Corp., providing services to the Java computer language users in the form of a Java archive (JAR). The same binary is used for all identified computer hardware and OS because the JVM underneath SB FIPS Java Module will absorb the differences of the computer hardware and OS.

The interface into SB FIPS Java Module is via Application Programmer's Interface (API) method calls. These method calls provide the interface to the cryptographic services, for which the parameters and return codes provide the control input and status output (see Figure 2).



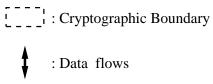


Figure 2: Cryptographic Module Software Block Diagram

# **3** Cryptographic Module Ports and Interfaces

The physical and logical interfaces are summarized in Table 1.

I/O	Logical Interface	Physical Interface
Data Input	API	Ethernet port
Data Output	API	Ethernet port
Control Input	API	Keyboard and Mouse
Status Output	Return Code	Display
Power Input	Initialization Function	The Power Supply is the
		power interface.
Maintenance	Not Supported	Not Supported

Table 1: Logical and Physical Interfaces

# 4 Roles, Services, and Authentication

## 4.1 Roles

SB FIPS Java Module supports Crypto Officer and User Roles. These roles are enforced by this Security Policy. The Crypto Officer has the responsibility for installing SB FIPS Java Module (see Table 2).

Service	Crypto Officer	User		
Installation, etc.	· · · · · ·			
Installation	X			
Uninstallation	X			
Initializaton	×	×		
Deinitialization	×	×		
Self-tests	X	×		
Show status	X	×		
Key zeroizaton	×	×		
Symmetric Ciphers (AES an	d TDES)			
Key generation (TDES only)	X	×		
Encrypt	×	×		
Decrypt	×	×		
Hash Algorithms and Messa	ge Authentication (S	SHA, HMAC)		
Hashing	X	×		
Message Authentication	×	×		
Random Number Generation	n (pRNG)			
Instantiation	X	×		
Seeding	×	×		
Request	×	×		
Digital Signature (DSA, ECI	DSA, RSA)			
Key pair generation	X	×		
Sign	×	×		
Verify	×	×		
Key Agreement (DH, ECDH	, ECMQV)			
Key pair generation	X	×		
Shared secret generation	×	×		
Key Wrapping (RSA)				
Key pair generation	×	×		
Wrap	×	×		
Unwrap	×	х		

Table 2: Roles and FIPS Approved or Allowed Services

In order to operate the module securely, it is the Crypto Officer and User's responsibility to confine calls to those methods that have been FIPS 140-2 Approved. Thus, in the approved mode of operation, all Roles shall confine themselves to calling FIPS Approved algorithms, as marked in Table 3.

# 4.2 Services

SB FIPS Java Module supports many cryptographic algorithms. The set of cryptographic algorithms supported by SB FIPS Java Module are given in Table 3.

	Algorithm	FIPS	Cert
		Approved	Number
		or Allowed	
Block Ciphers	DES (ECB, CBC, CFB64, OFB64)		
	TDES (ECB, CBC, CFB64, OFB64)	×	#686
	[FIPS 46-3]		
	DESX (ECB, CBC, CFB64, OFB64)		
	AES (ECB, CBC, CFB128, OFB128,	×	#804
	CTR, CCM, CMAC, GCM) [FIPS 197]		
	ARC2 (ECB, CBC, CFB64, OFB64)		
	[RFC 2268]		
Stream Cipher	ARC4		
Hash Functions	SHA-1 [FIPS 180-2]	×	#802
	SHA-224 [FIPS 180-2]	×	#802
	SHA-256 [FIPS 180-2]	×	#802
	SHA-384 [FIPS 180-2]	×	#802
	SHA-512 [FIPS 180-2]	×	#802
	MD5 [RFC 1321]		
	MD2 [RFC 1115]		
Message	HMAC-SHA-1 [FIPS 198]	×	#444
Authentication	HMAC-SHA-224 [FIPS 198]	×	#444
	HMAC-SHA-256 [FIPS 198]	×	#444
	HMAC-SHA-384 [FIPS 198]	×	#444
	HMAC-SHA-512 [FIPS 198]	×	#444
	HMAC-MD5 [RFC 2104]		
RNG	ANSI X9.62 RNG [ANSI X9.62]	×	#462
	DRBG [NIST SP 800-90]	×	#1
Digital	DSA [FIPS 186-2]	×	#296
Signature	ECDSA [FIPS 186-2, ANSI X9.62]	×	#91
	RSA PKCS #1 v1.5 [PKCS #1 v2.1]	×	#386
	RSA PSS [PKCS #1 v2.1]	×	#386
	ECQV		
Key Agreement	DH [ANSI X9.42]	×	
	ECDH [ANSI X9.63]	×	
	ECMQV [ANSI X9.63]	×	
Key Wrapping	RSA PKCS #1 v1.5 [PKCS #1 v2.1]	×	
	RSA OAEP [PKCS #1 v2.1]	×	
	ECIES [ANSI X9.63]		

Table 3: Supported Algorithms and Standards

The TDES, AES (ECB, CBC, CFB128, OFB128, CTR, CCM, CMAC, and GCM modes), SHS (SHA-1, SHA-224, SHA-256, SHA-384, and SHA-512), HMAC-SHS

(HMAC-SHA-1, HMAC-SHA-224, HMAC-SHA256, HMAC-SHA-384, and HMAC-SHA-512), RNG (ANSI X9.62 RNG, NIST SP 800-90 DRBG), DSA, RSA PKCS #1, and ECDSA algorithms have been validated to comply with FIPS. SB FIPS Java Module also supports FIPS allowed key establishment techniques (key agreement and key wrapping), DH, ECDH, ECMQV, and RSA PKCS #1. In order to operate the module in compliance with FIPS, only these FIPS Approved or allowed algorithms should be used.

DES, DESX, AES (CCM\* mode), ARC2, ARC4, MD5, MD2, HMAC-MD5, ECQV, and ECIES are supported as non FIPS Approved algorithms. In order to operate the module in compliance with FIPS, these algorithms should not be used.

Table 4 summarizes the keys and CSPs used in the FIPS mode.

Algorithm	Key and CSP	Key Size	Strength	Roles	Access
AES	key	128-256 bits	128-256	Officer,	Use
			bits	User	
TDES	key	112 bits	112 bits	Officer,	Create, Read,
				User	Use
HMAC	key	160-512 bits	80-256	Officer,	Use
			bits	User	
pRNG	seed key, seed	160 bits	80 bits	Officer,	Use
				User	
DSA	key pair	1024-15360	80-256	Officer,	Create, Read,
		bits	bits	User	Use
ECDSA	key pair	160-571 bits	80-256	Officer,	Create, Read,
			bits	User	Use
RSA	key pair	1024-15360	80-256	Officer,	Create, Read,
Signature		bits	bits	User	Use
DH	static/ephemeral	1024-15360	80-256	Officer,	Create, Read,
	key pair	bits	bits	User	Use
ECDH	static/ephemeral	160-571 bits	80-256	Officer,	Create, Read,
	key pair		bits	User	Use
ECMQV	static/ephemeral	160-571 bits	80-256	Officer,	Create, Read,
	key pair		bits	User	Use
RSA	key pair	1024-15360	80-256	Officer,	Create, Read,
Key Wrapping		bits	bits	User	Use

Table 4: Key and CSP, Key Size, Security Strength, and Access

#### 4.3 Operator Authentication

SB FIPS Java Module does not deploy authentication mechanism. The roles of Crypto Officer and User are implicitly selected by the operator.

# **5** Finite State Model

The Finite State model contains the following states:

- Installed/Uninitialized
- Initialized
- Self-Test
- Idle
- Crypto Officer/User
- Error

The following is the important features of the state transition:

- 1. When the module is installed by the Crypto Officer, the module is in the Installed/Uninitialized state.
- 2. When the initialization command is applied to the module, i.e., the module is loaded on the memory, turning to the Initialization state. Then, it transits to the Self-Test state automatically, running the Power-up Tests. While in the Self-Test state, all data output via the data output interface is prohibited. On success the module enters Idle; on failure the module enters Error and the module is disabled. From the Error state the Crypto Officer may need to re-install to attempt correction.
- 3. From the Idle state (which is only entered if Self-Tests have succeeded), the module can transit to the Crypto Officer/User state when an API method is called.
- 4. When the API method has completed successfully, the state transits back to Idle.
- 5. If the Conditional Test (Continuous RNG Test or Pair-wise Consistency Test) fails, the state transits to Error and the module is disabled.
- When On-demand Self-Test is executed, the module enters the Self-Test state. On success the module enters Idle; on failure the module enters Error and the module is disabled.
- 7. When the de-initialization command is executed, the module goes back to the Installed/Uninitialized state.

# 6 Physical Security

Physical security is not applicable to this software module at Level 1 Security.

# 7 Operational Environment

This module is to be run in single user operational environment, where each user application runs in virtually separated independent space. Note that modern Operating Systems such as UNIX, Linux, and Windows provide such operational environment.

# 8 Cryptographic Key Management

SB FIPS Java Module provides the underlying method to support FIPS 140-2 Level 1 key management. The user will select FIPS Approved algorithms and will handle keys with appropriate care to build up a system that complies with FIPS 140-2. It is the Crypto Officer and User's responsibility to select FIPS 140-2 validated algorithms (see Table 3).

#### 8.1 Key Generation

SB FIPS Module provides FIPS 140-2 compliant key generation. The underlying random number generation uses a FIPS Approved method, the ANSI X9.62 RNG [4] or the DRBG [9].

### 8.2 Key Establishment

SB FIPS Java Module provides the following FIPS allowed key establishment techniques [5]:

- 1. Diffie-Hellman (DH)
- 2. EC Diffie-Hellman (ECDH)
- 3. ECMQV
- 4. RSA PKCS #1 v1.5
- 5. RSA OAEP

The RSA key wrapping techniques above are based on the PKCS #1 v2.1 standard, and are used to transport keys.

The ECDH and ECMQV key agreement technique implementations support elliptic curve sizes from 160 bits to 571 bits that provide between 80 and 256 bits of security strength. The DH key agreement technique implementation supports modulus sizes from 512 bits to 15360 bits that provide between 56 and 256 bits of security strength, where 1024 bits and above must be used to provide minimum of 80 bits of security. The RSA implementation supports modulus sizes from 512 bits to 15360 bits of security strength, where 1024 bits and above must be used to provide minimum of 80 bits that provide between 56 and 256 bits of security strength, where 1024 bits and above must be used to provide minimum of 80 bits of security strength.

It is the users responsibility to ensure that the appropriate key establishment techniques are applied to the appropriate keys.

### 8.3 Key Entry and Output

Keys must be imported into or exported from the cryptographic boundary in encrypted form using a FIPS Approved algorithm.

# 8.4 Key Storage

SB FIPS Java Module is a low-level cryptographic toolkit, and as such does not provide key storage.

# 8.5 Zeroization of Keys

SB FIPS Java Module provides zeroizable interfaces to key objects which implement zeroization methods. Keys are zeroized when the zeroization method is invoked. Also, zeroization of all keys and CSPs are performed in the finalizing methods of the objects; JVM executes the finalizing methods every time it operates garbage collection.

# 9 Self-Tests

### 9.1 Power-up Tests

#### 9.1.1 Tests upon Power-up

Self-Tests are initiated automatically by the module at start-up. The following tests are applied:

#### 1. Known Answer Tests (KATs):

KATs are performed on TDES, AES, SHS (via HMAC-SHS), HMAC-SHS, RNG (ANSI X9.62 RNG and NIST SP 800-90 DRBG), and RSA PKCS #1 v1.5 Signature Algorithm. For DSA and ECDSA, Pair-wise Consistency Test is used.

#### 2. Software Integrity Test:

The software integrity test deploys ECDSA signature validation to verify the integrity of the module.

#### 9.1.2 On-Demand Self-Tests

On-demand self tests may be invoked by the Cryptographic Officer or User by invoking a method, which is described in the Crypto Officer And User Guide in Appendix A.

### 9.2 Conditional Tests

The Continuous RNG Test is executed on all RNG generated data, examining the first 160 bits of each requested random generation for repetition. The RNGs implemented are ANSI X9.62 RNG and NIST SP 800-90 DRBG. This ensures that the RNG is not stuck at any constant value.

Also, upon each generation of a RSA, DSA, or ECDSA key pair, the generated key pair is tested of their correctness by generating a signature and verifying the signature on a given message as a Pair-wise Consistency Test.

### 9.3 Failure of Self-Tests

Failure of the Self-Tests places the cryptographic module in the Error state, wherein no cryptographic operations can be performed. If any Self-Test fails, the cryptographic module will throw an exception.

# **10** Design Assurance

# **10.1** Configuration Management

A configuration management system for the cryptographic module is employed and has been described in a document to the certifying lab. It uses the Concurrent Versioning System (CVS) to track the configurations.

# **10.2 Delivery and Operation**

Please refer to Section A.1 of Crypto Officer And User Guide in Appendix A to review the steps necessary for the secure installation and initialization of the cryptographic module.

# 10.3 Development

Detailed design information and procedures have been described in documentation submitted to the testing laboratory. The source code is fully annotated with comments, and is also submitted to the testing laboratory.

# **10.4 Guidance Documents**

Crypto Officer Guide and User Guide are provided in Appendix A. This appendix outlines the operations for Crypto Officer and User to ensure the security of the module.

# 11 Mitigation of Other Attacks

SB FIPS Java Module implements mitigation of the following attacks:

- 1. Timing attack on RSA
- 2. Attack on biased private key of DSA

### 11.1 Timing Attack on RSA

When employing Montgomery computations, timing effects allow an attacker to tell when the base of exponentiation is near the secret modulus. This leaks information concerning the secret modulus.

In order to mitigate this attack, the following is executed: The bases of exponentiation are randomized by a novel technique that requires no inversion to remove (unlike other blinding methods e.g. BSAFE Crypto-C User Manual v 4.2).

Note that Remote Timing Attacks are Practical:

http://crypto.stanford.edu/ dabo/papers/ssl-timing.pdf

## 11.2 Attack on Biased Private Key of DSA

The standard for choosing ephemeral values in DSA signature introduce a slight bias. Means to exploit these biases were presented to ANSI by D. Bleichenbacher.

In order to mitigate this attack, the following is executed: The bias in the RNG is reduced to levels which are far below the Bleichenbacher attack threshold.

Change Notice 1 of FIPS 186-2 is published to mitigate this attack: http://csrc.nist.gov/CryptoToolkit/tkdigsigs.html

# A Crypto Officer And User Guide

### A.1 Installation

In order to carry out a secure installation of SB FIPS Java Module, the Crypto Officer must follow the procedure described in this section.

#### A.1.1 Installing

The Crypto Officer is responsible for the installation of SB FIPS Java Module. Only the Crypto Officer is allowed to install the product. The Crypto Officer must have administrative privileges on the computer.

Place the cryptographic module, EccpressoFIPS.jar, in CLASSPATH or as an installed extension.

#### A.1.2 Uninstalling

Remove the jar files from the computer hardware.

## A.2 Commands

#### A.2.1 Initialization

FIPSManager.getInstance().activateFIPSMode()

This method runs a series of Self-Tests on the module. These tests examine the integrity of the shared object, and the correct operation of the cryptographic algorithms. If these tests are successful, the module will be enabled and be placed into FIPS mode.

#### A.2.2 Deinitialization

```
FIPSManager.getInstance().deactivateFIPSMode()
This method de-initializes the module.
```

#### A.2.3 Self-Tests

FIPSManager.getInstance().runSelfTests()

This method runs a series of Self-Tests, and returns if the tests are successful, otherwise, an exception is thrown. These tests examine the integrity of the shared object, and the correct operation of the cryptographic algorithms. If these tests fail, the module will be disabled. Section A.3 of this document describes how to recover from the disabled state.

#### A.2.4 Show Status

Status can be found by calling two boolean methods, FIPSManager.getInstance().isFIPSMode() and FIPSManager.getInstance().requestCryptoOperation(). If both methods return true, the module is in FIPS mode; If either method returns false, the module is not in FIPS mode.

# A.3 When Module is Disabled

When SB FIPS Java Module becomes disabled, attempt to bring the module back to the Installed state by calling the deinitialization method, and then to initialize the module using the initialization method. If the initialization is successful, the module is recovered. If this attempt fails, uninstall the module and re-install it. If the module is initialized successfully by this re-installation, the recovery is successful. If this recovery attempt fails, it indicates a fatal error. Please contact Certicom Support immediately.